Audit Plan 2011 - 2014

Audit Committee

May 6, 2011

Audit Plan 2011-2014

- The Audit Plan 2011 – 2014 Audit Plan sets out a list of audit projects planned for 2011.
- It also presents a list of projects identified for the next three years.
- The four-year Audit Plan is intended to coincide with the term of City Council.
- The City Auditor will provide status reports to Audit Committee and annually update the plan through the four year term of Council.

Audit Planning Process

Comprehensive process involving. . .

- identification and classification of auditable entities,
- evaluation of audit entities against standard criteria,
- consultation with Councillors and Senior management,
- updating of *Service Risk Profiles*
- Updating of the *Corporate Risk Profile,*
- consideration of resources available and required by project type,
- selection of Audit projects,
- presentation of proposed Audit Plan to Audit Committee, and
- communication of final Audit Plan to Council.

Proposed Projects for 2011

Advisory Services

- Quarterly Report Card
- Assessment Communications Task Force
- Corporate Performance Measurement
- Corporate Services - Service Level Agreements
- Fraud & Waste Hotline Implementation
- Self- Assessment on Quality Standards

Assurance Projects

- Collective Agreement Due Diligence
- Councillors' Representation Allowance
- Real Estate - non-monetary grants to not for profits
- Winnipeg Police Service Civilianization
- Winnipeg Police Service contract with Winnipeg Airport Authority
- Aquatics Programs

Proposed Projects for 2012

Advisory Services

- Quarterly Report Card
- Assessment Communications Task Force
- Corporate Performance Measurement
- Corporate Services - Service Level Agreements
- Transit Fare Collection System

Assurance Projects

- Collective Agreement Due Diligence
- Councillors' Representation Allowance
- Corporate Performance Measurement
- 311 Contact Centre
- Hospital Off-load Times
- Neighborhood Revitalization
- Grant Accountability Follow-up Audit
- Liabilities for Contaminated Sites

Proposed Projects for 2013

Advisory Services

- Quarterly Report Card
- Assessment Communications Task Force

Assurance Projects

- Collective Agreement Due Diligence
- Councillors' Representation Allowance
- Corporate Performance Measurement
- Sidewalk Asset Management
- Corporate Exception Reporting
- By-law Enforcement
- Transit Fare Collection System

Proposed Projects for 2014

Advisory Services

- Quarterly Report Card
- Assessment Communications Task Force
- Continuous Monitoring

Assurance Projects

- Collective Agreement Due Diligence
- Councillors' Representation Allowance
- Corporate Performance Measurement
- Recycling & Waste Minimization
- Graffiti Control
- Veolia Contract Management
- Parks & Urban Forestry

Proposed Projects for Subsequent Years

The following projects have been identified as potential projects to be considered for 2015 and subsequent years. All projects will be re-valuated annually.

- Archives and Records Management
- Assiniboine Park Conservancy
- Building Operations and Security
- Business Improvement Zone Financial Reporting
- Campus Accommodation Strategic Planning
- Corporate Planning
- Development Agreement Parameters
- Environmental Health
- Employee Benefits
- Implementation of Transit's Capital Program
- Maintenance of Downtown Skywalk system
- North Portage Development Agency
- Procurement and Contract Management
- Recreation Services
- Reporting on Financial Condition of the City
- Survey Infrastructure
- Winnipeg Convention Centre
- WFPS Training Branch
- Winnipeg Housing and Homeless Initiative