**Agenda – Executive Policy Committee – February 17, 2016**

## AUDIT COMMITTEE

**Item No. 1 Quarterly Report Card – 2015 Quarter 4**

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

That Audit Committee receive the Quarterly Report Card – 2015 Quarter 4 as information.

# ADMINISTRATIVE REPORT

**Title:** **Quarterly Report Card – 2015 Quarter 4**

**Critical Path:** **Audit Committee**

## AUTHORIZATION

| Author | Department Head | CFO | CAO/COO |
|---|---|---|---|
| K. Fox | B. Mansky<br>Acting City Auditor | | |

## RECOMMENDATIONS

That Audit Committee receive the Quarterly Report Card – 2015 Quarter 4 as information.

## REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d)(iv) that the Audit Committee will "Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee."

The report provides summary information on the status of the implementation of 171 recommendations from thirteen audit reports as at December 31, 2015.

## IMPLICATIONS OF THE RECOMMENDATIONS

The Quarterly Report Card process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

## HISTORY

In 2006, the *Status of Audit Recommendations Quarterly Report Card* was introduced at the request of Audit Committee. The *Quarterly Report Card* is produced at the end of March, June, September and December and is presented at the next Audit Committee.

The attached report provides the results of the Audit Department's review for the period October 1, 2015 through December 31, 2015 of the 171 recommendations from 13 various audit reports.

The 13 audit reports changed slightly, as the Local Improvements Audit, 2010 was completed and subsequently removed from the quarterly report card listing. The Community By-law Enforcement Series Audit, 2015 was added for future reporting.

During the fourth quarter, six of the anticipated 18 recommendations were implemented, namely:

| Report Name | # Implemented | Recommendation # Implemented |
|---|---|---|
| Capital Projects Management Audit | 1 | 25 |
| Review of the Hired Equipment Process | 3 | 3, 7, 8 |
| 311 Contact Centre Audit | 2 | 2, 9 |

Where applicable, documentation supporting the implementation was reviewed by the Acting City Auditor to confirm the status update and whether or not implementation has occurred.

With respect to the 12 recommendations in progress, status updates were provided by management and reviewed by the Audit Department. In all cases, the reasons for postponement appeared reasonable.

Actions are underway for the remaining recommendations in progress. The Public Service has reported that two of the 28 recommendations in progress should be implemented by March 31, 2016. The status of the recommendations in progress will be the focus of our next report card.

This report relates to the 13 various audit reports issued from 2009 to 2015. Overall, management has fully implemented 79 per cent or 135 of the applicable recommendations made by the Audit Department.

The status of recommendations related to the Real Estate Management Review, the New Fire Paramedic Stations Construction Project and the Winnipeg Police Service Headquarters Construction Project Audit are reported under separate cover.

## FINANCIAL IMPACT

There is no financial impact associated with this report.

*(Original Signed by B. Mansky)*
B. Mansky
Acting City Auditor

## CONSULTATION

Animal Services
Legal Services
Corporate Finance
Community Services
Corporate Support Services
Planning, Property and Development
Public Works
Winnipeg Parking Authority

## OURWINNIPEG POLICY ALIGNMENT

N/A

## SUBMITTED BY

Department: Audit Department
Prepared by: K. Fox
Date: January 26, 2016

ATTACHMENTS:



2015 Qtr 4 Summary Report.pdf