Minutes – Executive Policy Committee – December 7, 2016

AUDIT COMMITTEE

Item No. 1 Quarterly Report Card – 2016 Quarter 3

EXECUTIVE POLICY COMMITTEE DECISION:

The Executive Policy Committee, in its capacity as the Audit Committee, concurred in the recommendation of the Winnipeg Public Service and received as information the Quarterly Report Card – 2016 Quarter 3.

DECISION MAKING HISTORY:

Moved by Councillor Gilroy,

That the recommendation of the Winnipeg Public Service be concurred in.

Carried

ADMINISTRATIVE REPORT

Title: **Quarterly Report Card – 2016 Quarter 3**

Critical Path: **Audit Committee**

AUTHORIZATION

Author	Department Head	CFO	CAO/COO
K. Fox	B. Mansky City Auditor		

RECOMMENDATIONS

That Audit Committee receive the Quarterly Report Card – 2016 Quarter 3 as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d)(iv) that the Audit Committee will "Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee."

The report provides summary information on the status of the implementation of 173 recommendations from twelve audit reports as at September 30, 2016.

IMPLICATIONS OF THE RECOMMENDATIONS

The Quarterly Report Card process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

HISTORY

In 2006, the *Status of Audit Recommendations Quarterly Report Card* was introduced at the request of Audit Committee. The *Quarterly Report Card* is produced at the end of March, June, September and December and is presented at the next Audit Committee.

The attached report provides the results of the Audit Department's review for the period July 1, 2016 through September 30, 2016 of the 173 recommendations from twelve various audit reports.

The twelve audit reports changed slightly, as the Workplace Safety Audit was completed and subsequently removed from the quarterly report card listing. The Emergency Mechanical Services Branch Audit was added for future reporting.

Two recommendations were targeted for implementation in the third quarter and one was implemented. An additional four recommendations were implemented, some prior to Q3 2016; however this is the first formal follow-up on the Emergency Mechanical Services Branch Audit.

Report Name	# Implemented	Recommendation # Implemented
Non-Monetary Real Estate Grants Audit	1	#6
311 Contact Centre Audit	-	-
Emergency Mechanical Services Branch Audit	4	#4, #6, #7, #8

Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred.

With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department. In all cases, the reasons for postponement appeared reasonable.

Actions are underway for the remaining recommendations in progress. The Public Service has reported that seven of the 31 recommendations in progress should be implemented by December 31, 2016. The status of the recommendations in progress will be the focus of our next report card.

Overall, management has fully implemented 82 per cent or 133 of the applicable recommendations made by the Audit Department.

The status of recommendations related to the Real Estate Management Review, the New Fire Paramedic Stations Construction Project and the Winnipeg Police Service Headquarters Construction Project Audit are reported under separate cover.

FINANCIAL IMPACT

Financial Impact Statement **Date:** November 4, 2016

Project Name:

Quarterly Report Card – 2016 Quarter 3

COMMENTS:

This report is received as information only and has no financial impact.

Orignal signed by R. Hodges
Ramona Hodges
Manager of Finance (Campus)
Corporate Finance Department

CONSULTATION

Animal Services
Legal Services
Corporate Finance
Community Services
Corporate Support Services
Planning, Property and Development
Public Works
Winnipeg Parking Authority
Winnipeg Fire Paramedics Service

OURWINNIPEG POLICY ALIGNMENT

N/A

SUBMITTED BY

Department: Audit Department
Prepared by: K. Fox
Date: November 4, 2016

ATTACHMENTS:

2016 Qtr 3 Summary Report.pdf