Agenda – Executive Policy Committee – April 19, 2017

AUDIT COMMITTEE

Item No. 1 Quarterly Report Card – 2016 Quarter 4

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

1. That the Quarterly Report Card – 2016 Quarter 4 report be received as information.

ADMINISTRATIVE REPORT

Title: Quarterly Report Card – 2016 Quarter 4

Critical Path: Audit Committee

AUTHORIZATION

Author	Department Head	CFO	CAO
K. Fox	B. Mansky		

EXECUTIVE SUMMARY

In 2006, the *Status of Audit Recommendations Quarterly Report Card* was introduced at the request of Audit Committee. The *Quarterly Report Card* is produced at the end of March, June, September and December and is presented at the next Audit Committee.

The Quarterly Report Card process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the 173 recommendations from twelve various audit reports as at December 31, 2016.

RECOMMENDATIONS

1. That the Quarterly Report Card – 2016 Quarter 4 report be received as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d)(iv) that the Audit Committee will “Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee.”

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

HISTORY/DISCUSSION

The attached report provides the results of the Audit Department's review for the period October 1, 2016 through December 31, 2016 of the 173 recommendations from twelve various audit reports.

Eight recommendations were targeted for implementation in the fourth quarter and one was implemented.

Report Name	Recommendation #	Revised Implementation Date
Capital Projects Management	28	2nd Qtr 2017
Traffic Signals Branch Performance Audit	14	1st Qtr 2017
Review of the Hired Equipment Process	2	4th Qtr 2017
	5	4th Qtr 2017
	6	4th Qtr 2017
	9	4th Qtr 2017
311 Contact Centre Audit	13	2nd Qtr 2017
Community By-law Enforcement Services Audit	1	-

Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred.

With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department. In all cases, the reasons for postponement appeared reasonable.

Actions are underway for the remaining recommendations in progress. The Public Service has reported that one of the 30 recommendations in progress should be implemented by March 31, 2017. The status of the recommendations in progress will be the focus of our next report card.

Overall, management has fully implemented 83 per cent or 134 of the applicable recommendations made by the Audit Department.

The status of recommendations related to the New Fire Paramedic Stations Construction Project and the Winnipeg Police Service Headquarters Construction Project Audit are reported under separate cover.

FINANCIAL IMPACT

Financial Impact Statement **Date:** February 8, 2017

Project Name:

Quarterly Report Card – 2016 Quarter 4

COMMENTS:

This report is received as information only and has no financial impact.

Orignal signed by R. Hodges
Ramona Hodges
Manager of Finance (Campus)
Corporate Finance Department

CONSULTATION

This Report has been prepared in consultation with:

Animal Services
Legal Services
Corporate Finance
Community Services
Corporate Support Services
Planning, Property and Development
Public Works
Winnipeg Fire Paramedics Service

OURWINNIPEG POLICY ALIGNMENT

N/A

SUBMITTED BY

Department: Audit Department
Prepared by: K. Fox, Executive Assistant
Date: February 3, 2017

Attachments:

2016 Qtr 4 Summary Report.pdf