Minutes – Executive Policy Committee – April 19, 2017

AUDIT COMMITTEE

Item No. 7 Audit Department Peer Review

EXECUTIVE POLICY COMMITTEE RECOMMENDATION:

The Executive Policy Committee, in its capacity as the Audit Committee, concurred in the recommendation of the Winnipeg Public Service and recommended to Council:

1. That the Audit Committee receive the Peer Review Report and the City Auditor Response as information.

DECISION MAKING HISTORY:

Moved by Councillor Gillingham,

That the recommendation of the Winnipeg Public Service be concurred in and forwarded to Council.

Carried

ADMINISTRATIVE REPORT

Title: Audit Department Peer Review

Critical Path: Audit Committee - Council

AUTHORIZATION

Author	Department Head	CFO	CAO
M. Giles	B. Mansky City Auditor		

EXECUTIVE SUMMARY

The Audit Department performs its work in accordance with *Government Auditing Standards*. These standards provide professional guidance for government-related audits and require us to follow relevant CPA Canada auditing standards where they are applicable to our work. *Government Auditing Standards* also require an independent external peer review of our operations to be conducted and published every three years. We believe that this requirement provides transparent accountability for the quality of our work to Council and to the public.

We have recently completed our first peer review for the period of January 1, 2015 to November 30, 2016, and we have received a clean opinion from the peer review team. It is the opinion of the Association of Local Government Auditors that the Audit Department's quality control system was suitably designed and operating effectively to provide reasonable assurance of our compliance with *Government Auditing Standards* for audits and attestation engagements for the period reviewed. We have attached the peer review team's report.

The peer review team also made five accompanying recommendations to further enhance our department's demonstrated adherence to *Government Auditing Standards*. The City Auditor has addressed each of the recommendations in the attached response letter, and has committed to having the solutions implemented by the end of 2017.

RECOMMENDATIONS

1. That the Audit Committee receive the Peer Review Report and the City Auditor Response as information.

REASON FOR THE REPORT

The report is submitted in accordance with the City Organization By-law 7100/97 section 19(d)(iv) that the Audit Committee will "Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee."

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

HISTORY/DISCUSSION

A Peer Review of the Audit Department was endorsed by the Audit Committee in the City Auditor's Audit Plan 2015–2018 – 2016 Update.

FINANCIAL IMPACT

Financial Impact Statement **Date:** January 31, 2017

Project Name:

Audit Department Peer Review

COMMENTS:

This report is received as information only and has no financial impact.

Orignal signed by R. Hodges
Ramona Hodges
Manager of Finance (Campus)
Corporate Finance Department

CONSULTATION

This Report has been prepared in consultation with:

N/A

OURWINNIPEG POLICY ALIGNMENT

01-3 Prosperity - Direction 1 - Provide Efficient and Focused Civic Administration and Governance

This report supports the demonstration of accountability through reporting and to continuously pursue innovative, streamlined service delivery and decision-making processes.

SUBMITTED BY

Department: Audit Department
Prepared by: M. Giles, Deputy City Auditor
Date: January 4, 2017

ATTACHMENTS:



Peer Review Report.pdf



Winnipeg City Auditor Response.pd