**Agenda – Executive Policy Committee – June 7, 2017**

## AUDIT COMMITTEE

**Item No. 1 Quarterly Report Card – 2017 Quarter 1**

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

That the Quarterly Report Card – 2017 Quarter 1 report be received as information.

# ADMINISTRATIVE REPORT

**Title:** Quarterly Report Card – 2017 Quarter 1

**Critical Path:** Audit Committee

## AUTHORIZATION

| Author | Department Head | CFO | CAO |
|---|---|---|---|
| K. Fox | B. Mansky<br>City Auditor | | |

## EXECUTIVE SUMMARY

In 2006, the *Status of Audit Recommendations Quarterly Report Card* was introduced at the request of Audit Committee. The *Quarterly Report Card* is produced at the end of March, June, September and December and is presented at the next Audit Committee.

The Quarterly Report Card process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the 173 recommendations from twelve various audit reports as at March 31, 2017.

## RECOMMENDATIONS

1. That the Quarterly Report Card – 2017 Quarter 1 report be received as information.

## REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d)(iv) that the Audit Committee will “Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee.”

## IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

**HISTORY/DISCUSSION**

The attached report provides the results of the Audit Department's review for the period January 1, 2017 through March 31, 2017 of the 173 recommendations from twelve various audit reports.

One recommendation was targeted for implementation and was implemented in the first quarter. An additional recommendation was implemented ahead of schedule.

| Report Name | Recommendation # | Revised Implementation Date |
|---|---|---|
| Traffic Signals Branch Performance Audit | 14 | - |
| Animal Services By-Law Enforcement Audit | 1 | - |

Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred.

With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department. In all cases, the reasons for postponement appeared reasonable.

Actions are underway for the remaining recommendations in progress. The Public Service has reported that six of the 27 recommendations in progress should be implemented by June 30, 2017. The status of the recommendations in progress will be the focus of our next report card.

Overall, management has fully implemented 84 per cent or 136 of the applicable recommendations made by the Audit Department.

The status of recommendations related to the New Fire Paramedic Stations Construction Project and the Winnipeg Police Service Headquarters Construction Project Audit are reported under separate cover.

**FINANCIAL IMPACT**

## Financial Impact Statement

**Date:** May 2, 2017

**Project Name:**

## Quarterly Report Card – 2017 Quarter 1

**Comments:**

There are no financial implications related to the recommendation of this report.

*original signed by R. Hodges*

Ramona Hodges
Manager of Finance (Campus)
Corporate Finance Department

## CONSULTATION

This Report has been prepared in consultation with:

Animal Services
Legal Services
Corporate Finance
Community Services
Corporate Support Services
Planning, Property and Development
Public Works
Winnipeg Fire Paramedics Service

## OURWINNIPEG POLICY ALIGNMENT

N/A

## SUBMITTED BY

Department: Audit
Prepared by: Kim Fox, Executive Assistant
Date: May 2, 2017

Attachments:

2017 Qtr 1 Summary Report.pdf