Agenda – Executive Policy Committee – October 18, 2017

AUDIT COMMITTEE

Item No. 4 Quarterly Report Card – 2017 Quarter 2

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

1. That the Quarterly Report Card – 2017 Quarter 2 report be received as information.

ADMINISTRATIVE REPORT

Title: Quarterly Report Card – 2017 Quarter 2

Critical Path: Audit Committee

AUTHORIZATION

Author	Department Head	CFO	CAO
K. Fox	B. Mansky City Auditor		

EXECUTIVE SUMMARY

In 2006, the *Status of Audit Recommendations Quarterly Report Card* was introduced at the request of Audit Committee. The *Quarterly Report Card* is produced at the end of March, June, September and December and is presented at the next Audit Committee.

The Quarterly Report Card process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the 161 recommendations from twelve various audit reports as at June 30, 2017.

RECOMMENDATIONS

1. That the Quarterly Report Card – 2017 Quarter 2 report be received as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d)(iv) that the Audit Committee will “Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee.”

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

HISTORY/DISCUSSION

The attached report provides the results of the Audit Department's review for the period April 1, 2017 through June 30, 2017 of the 161 recommendations from twelve various audit reports.

The twelve audit reports changed slightly, as the Animal Services By-law Enforcement Audit, 2011 was completed and subsequently removed from the quarterly report card listing. The Southwest Rapid Transitway (Stage 2) and Pembina Hwy Underpass Project Audit – Report 1, 2016 was added for future reporting.

Six recommendations were targeted for implementation and one was implemented in the second quarter. One additional recommendation was implemented ahead of schedule.

Report Name	Recommendation #	Revised Implementation Date
Non-Monetary Real Estate Grants Audit	2	3rd Qtr 2017
311 Contact Centre Audit	13	4th Qtr 2017
Emergency Mechanical Services Branch	1	4th Qtr 2017
Audit	3	4th Qtr 2017
	11	3rd Qtr 2017
	15	Implemented
Capital Projects Management Audit	28	Implemented

Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred.

With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department. In all cases, the reasons for postponement appeared reasonable.

Actions are underway for the remaining recommendations in progress. The Public Service has reported that seven of the 26 recommendations in progress should be implemented by September 30, 2017. The status of the recommendations in progress will be the focus of our next report card.

Overall, management has addressed 83 per cent or 135 of the applicable recommendations made by the Audit Department.

The status of recommendations related to the New Fire Paramedic Stations Construction Project and the Winnipeg Police Service Headquarters Construction Project Audit are reported under separate cover.

FINANCIAL IMPACT

Financial Impact Statement

Date: August 15, 2017

Project Name:

Quarterly Report Card – 2017 Quarter 2

Comments:

There are no financial implications related to the recommendation of this report.

original signed by F. Dela Cruz

Fernando Dela Cruz
Acting Manager of Finance (Campus)
Corporate Finance Department

CONSULTATION

This Report has been prepared in consultation with:

Legal Services
Corporate Finance
Community Services
Customer Services & Communications
Planning, Property and Development
Public Works
Winnipeg Fire Paramedics Service

OURWINNIPEG POLICY ALIGNMENT

N/A

SUBMITTED BY

Department: Audit
Prepared by: Kim Fox, Executive Assistant
Date: August 3, 2017

Attachments:

2017 Qtr 2 Summary Report.pdf