**Agenda – Executive Policy Committee – December 6, 2017**

## AUDIT COMMITTEE

**Item No. 2 Quarterly Report Card – 2017 Quarter 3**

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

1. That the Quarterly Report Card – 2017 Quarter 3 report be received as information.

# ADMINISTRATIVE REPORT

**Title:** Quarterly Report Card – 2017 Quarter 3

**Critical Path:** Audit Committee

## AUTHORIZATION

| Author | Department Head | CFO | CAO |
|---|---|---|---|
| B. Mansky | B. Mansky<br>City Auditor | | |

## EXECUTIVE SUMMARY

In 2006, the *Status of Audit Recommendations Quarterly Report Card* was introduced at the request of Audit Committee. The *Quarterly Report Card* is produced at the end of March, June, September and December and is presented at the next Audit Committee.

The Quarterly Report Card process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the 132 recommendations from eleven various audit reports as at September 30, 2017.

## RECOMMENDATIONS

1. That the Quarterly Report Card – 2017 Quarter 3 report be received as information.

## REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d)(iv) that the Audit Committee will “Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee.”

## IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

**HISTORY/DISCUSSION**

The attached report provides the results of the Audit Department's review for the period July 1, 2017 through September 30, 2017 of the 132 recommendations from eleven various audit reports.

The eleven audit reports changed slightly, as the Capital Projects Management Audit, 2009 was completed and subsequently removed from the quarterly report card listing.

Seven recommendations were targeted for implementation and three were implemented in the third quarter. Three additional recommendations were implemented ahead of schedule.

| Report Name | Recommendation # | Revised Implementation Date |
|---|---|---|
| Non-Monetary Real Estate Grants Audit | 2 | 4th Qtr 2017 |
| Traffic Signals Branch Performance Audit | 6 | 1st Qtr 2018 |
| Emergency Mechanical Services Branch Audit | 9 | 4th Qtr 2017 |
| Emergency Mechanical Services Branch Audit | 10 | 4th Qtr 2017 |
| Emergency Mechanical Services Branch Audit | 11 | Implemented |
| Emergency Mechanical Services Branch Audit | 12 | Implemented |
| Southwest Rapid Transitway (Stage 2) and Pembina Hwy Underpass Project Audit – Report 1 | 1 | Implemented |

Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred.

With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department. In all cases, the reasons for postponement appeared reasonable.

Actions are underway for the remaining recommendations in progress. The Public Service has reported that ten of the 19 recommendations in progress should be implemented by December 31, 2017. The status of the recommendations in progress will be the focus of our next report card.

Overall, management has addressed 86 per cent or 132 of the applicable recommendations made by the Audit Department.

The status of recommendations related to the New Fire Paramedic Stations Construction Project and the Winnipeg Police Service Headquarters Construction Project Audit are reported under separate cover.

**FINANCIAL IMPACT**

## Financial Impact Statement

**Date:** November 16, 2017

**Project Name:**

## Quarterly Report Card – 2017 Quarter 3

**Comments:**

There are no financial implications related to the recommendation of this report.

*original signed by T. Yanchishyn*

Tanis Yanchishyn
Manager of Finance (Campus)
Corporate Finance Department

## CONSULTATION

This Report has been prepared in consultation with:

Corporate Finance
Community Services
Customer Services & Communications
Planning, Property and Development
Public Works
Winnipeg Fire Paramedics Service

## OURWINNIPEG POLICY ALIGNMENT

N/A

## SUBMITTED BY

Department: Audit
Prepared by: B. Mansky, City Auditor
Date: November 17, 2017

Attachments: Quarterly Report Card – 2017 Quarter 3