Agenda – Executive Policy Committee – February 13, 2018

AUDIT COMMITTEE

Item No. 4. Quarterly Report Card – 2017 Quarter 4

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

1. That the Quarterly Report Card – 2017 Quarter 4 report be received as information.

ADMINISTRATIVE REPORT

Title: Quarterly Report Card – 2017 Quarter 4

Critical Path: Audit Committee

AUTHORIZATION

Author	Department Head	CFO	CAO
B. Mansky	B. Mansky City Auditor		

EXECUTIVE SUMMARY

In 2006, the *Status of Audit Recommendations Quarterly Report Card* was introduced at the request of Audit Committee. The *Quarterly Report Card* is produced at the end of March, June, September and December and is presented at the next Audit Committee.

The Quarterly Report Card process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the 116 recommendations from eleven (11) various audit reports as at December 31, 2017.

RECOMMENDATIONS

1. That the Quarterly Report Card – 2017 Quarter 4 report be received as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d)(iv) that the Audit Committee will “Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee.”

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

HISTORY/DISCUSSION

The attached report provides the results of the Audit Department's review for the period October 1, 2017 through December 31, 2017 of the 116 recommendations from eleven (11) various audit reports.

The eleven (11) audit reports changed slightly, as the Capital Projects Management Audit, 2009 was completed and subsequently removed from the quarterly report card listing.

Ten (10) recommendations were targeted for implementation and two (2) were implemented in the fourth quarter. Five (5) recommendations did not receive management update and there was no revised implementation date provided.

Report Name	Recommendation #	Revised Implementation Date
311 Contact Centre Audit	13	Implemented
Community By-Law Enforcement Services Audit	5	1st Qtr 2018
Non-Monetary Real Estate Grants Audit	2	2nd Qtr 2018
Operational Review of the Winnipeg Parking Authority Audit	1	Implemented
Review of the Hired Equipment Process Audit	9	2nd Qtr 2018
Emergency Mechanical Services Branch Audit	1	4th Qtr 2017
	2	4th Qtr 2017
	3	4th Qtr 2017
	9	4th Qtr 2017
	10	4th Qtr 2017

Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred.

With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department. Winnipeg Fire Paramedic Service management did not provide a management update or revised implementation date for the Emergency Mechanical Services Branch Audit recommendations. In the remaining cases, the reasons for postponement appeared reasonable.

Actions are underway for the remaining recommendations in progress. The Public Service has reported that three (3) of the seventeen (17) recommendations in progress should be implemented by March 31, 2017. The status of the recommendations in progress will be the focus of our next report card.

Overall, management has addressed 85 per cent or 116 of the applicable recommendations made by the Audit Department.

The status of recommendations related to the New Fire Paramedic Stations Construction Project and the Winnipeg Police Service Headquarters Construction Project Audit are reported under separate cover.

FINANCIAL IMPACT

Financial Impact Statement

Date: January 24, 2018

Project Name:

Quarterly Report Card – 2017 Quarter 4

Comments:

There are no financial implications related to the recommendation of this report.

original signed by T. Yanchishyn

Tanis Yanchishyn
Manager of Finance (Campus)
Corporate Finance Department

CONSULTATION

This Report has been prepared in consultation with:

Corporate Finance
Community Services
Customer Services & Communications
Planning, Property and Development
Public Works
Winnipeg Fire Paramedics Service

OURWINNIPEG POLICY ALIGNMENT

N/A

SUBMITTED BY

Department: Audit
Prepared by: B. Mansky, City Auditor
Date: January 26, 2018

Attachments: Quarterly Report Card – 2017 Quarter 4