Minute No. 206
Report – Executive Policy Committee – February 13, 2018

Item No. 10 Audit Plan January 2018 Update

COUNCIL DECISION:

Council concurred in the recommendation of the Executive Policy Committee, as amended, and adopted the following:

1. That the attached Audit Plan January 2018 Update be amended and adopted to require the City Auditor to provide an annual audit of the Council Ward Allowance expenses and the Office of the Mayor's expenses to Council for its July 2018 meeting, through the Governance Committee of Council;

2. That the City Auditor be authorized to engage external resources for the purpose of completing these 2 audits, if required;

3. That an over expenditure not to exceed $200,000 in the Audit Department's 2018 operating budget be approved for this purpose, to be funded by any general revenue fund surplus in 2018.

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DECISION MAKING HISTORY:

Moved by His Worship Mayor Bowman,
That the recommendation of the Executive Policy Committee be adopted.

In amendment,
Moved by His Worship Mayor Bowman,
Seconded by Councillor Sharma,

WHEREAS Section 3.11 of the Councillors' Ward Allowance (CWA) Policy states "The City Auditor will prepare an annual audit of the CWA and report through the Governance Committee to Council for its July meeting.";

AND WHEREAS in the Audit Plan January 2018 Update the City Auditor is recommending that Council approve overriding that section of the Policy for 2018 only. The targeted completion date for both the CWA Policy audit and the Mayor's Office Expenditure Policy is now targeted for communication by the December 2018 Council meeting.

THEREFORE BE IT RESOLVED:

1. That "Audit Plan January 2018 Update", Item 10 of the Report of Executive Policy Committee dated February 13, 2018 be amended to require the City Auditor to provide an annual audit of the Council Ward Allowance expenses and the Office of the Mayor's expenses to Council for its July 2018 meeting, through the Governance Committee of Council;

2. That the City Auditor be authorized to engage external resources for the purpose of completing these 2 audits, if required;

3. That an over expenditure not to exceed $200,000 in the Audit Department's 2018 operating budget be approved for this purpose, to be funded by any general revenue fund surplus in 2018.

The motion Moved by His Worship Mayor Bowman and Seconded by Councillor Sharma was put and declared carried.

The motion for adoption of the item, as amended, was put and declared carried.

EXECUTIVE POLICY COMMITTEE RECOMMENDATION:

On February 13, 2018, the Executive Policy Committee, in its capacity as the Audit Committee, concurred in the recommendation of the Winnipeg Public Service and submitted the matter to Council.

ADMINISTRATIVE REPORT

Title: Audit Plan January 2018 Update

Critical Path: Audit Committee – Council

AUTHORIZATION

Author	Department Head	CFO	CAO
B. Mansky	B. Mansky City Auditor		

EXECUTIVE SUMMARY

The Audit Department's Audit Plan 2018 Update sets out a list of departmental projects planned for the coming year.

The update includes the addition of one (1) new project. A motion requesting the Audit Department undertakes an Audit of the Effectiveness of Current Systems and Processes in Relation to the Sterling Lyon / William Clement Parkway Study was approved by Council on January 25, 2018.

Section 3.11 of the Councillors' Ward Allowance Policy states "The City Auditor will prepare an annual audit of the CWA and report through the Governance Committee to Council for its July meeting." The City Auditor is recommending that Council approve overriding that section of the Policy for 2018 only. The targeted completion date for both the Councillors' Ward Allowance Policy audit and the Mayor's Office Expenditure Policy is now targeted for communication by the December 2018 Council meeting.

RECOMMENDATIONS

1. That the attached Audit Plan January 2018 Update be adopted.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 4(19)(d)(ii) that the Audit Committee will "approve the Audit Department's annual audit plan."

IMPLICATIONS OF THE RECOMMENDATIONS

The adoption of the updated audit plan revises the target completion date of two (2) other audits. Otherwise, there are no implications to the recommendation that the report be received as information.

HISTORY/DISCUSSION

The attached Audit Plan is an update reflecting a recently approved Council requested project.

Annually the Audit Department is required to perform an audit of the Councillors' Ward Allowance Policy and was also undertaking the first audit of the Mayor's Office Expenditure Policy. The provisions in both policies require reporting by the July Council meeting. The scope of the newly requested audit is significant requiring re-assignment of all department staff.

FINANCIAL IMPACT

Financial Impact Statement **Date:** January 23, 2018

Project Name: **First Year of Program** **2018**

Audit Plan January 2018 Update

Comments:
There are no financial implications in this report.

original signed by T. Yanchishyn
Tanis Yanchishyn
Manager of Finance (Campus)
Corporate Finance Department

CONSULTATION

This Report has been prepared in consultation with: N/A

OURWINNIPEG POLICY ALIGNMENT

01-3 Prosperity - Direction 1 - Provide Efficient and Focused Civic Administration and Governance

This report supports the demonstration of accountability through reporting and to continuously pursue innovative, streamlined service delivery and decision-making processes.

SUBMITTED BY

Department: Audit Department
Prepared by: Bryan Mansky, City Auditor
Date: January 26, 2018

Attachments: Audit Plan January 2018 Update