Minutes – Executive Policy Committee – September 12, 2018

AUDIT COMMITTEE

Item No. 1 Quarterly Report Card – 2018 Quarter 2

EXECUTIVE POLICY COMMITTEE DECISION:

The Executive Policy Committee, in its capacity as the Audit Committee, concurred in the recommendation of the Winnipeg Public Service and received as information the Quarterly Report Card – 2018 Quarter 2.

DECISION MAKING HISTORY:

Moved by Councillor Gillingham,

That the recommendation of the Winnipeg Public Service be concurred in.

Carried

ADMINISTRATIVE REPORT

Title: Quarterly Report Card – 2018 Quarter 2

Critical Path: Audit Committee

AUTHORIZATION

Author	Department Head	CFO	CAO
B. Mansky	B. Mansky City Auditor		

EXECUTIVE SUMMARY

In 2006, the *Status of Audit Recommendations Quarterly Report Card* was introduced at the request of Audit Committee. The *Quarterly Report Card* is produced at the end of March, June, September and December and is presented at the next Audit Committee.

The Quarterly Report Card process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the seventy-six (76) recommendations from seven (7) various audit reports as at June 30, 2018.

RECOMMENDATIONS

1. That the Quarterly Report Card – 2018 Quarter 2 report be received as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d)(iv) that the Audit Committee will “Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee.”

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

HISTORY/DISCUSSION

The attached report provides the results of the Audit Department's review for the period April 1, 2018 through June 30, 2018 of the seventy-six (76) recommendations from seven (7) various audit reports.

Four (4) recommendations were targeted for implementation in the second quarter of 2018.

Corporate Finance Management implemented two (2) recommendations ahead of schedule in the second quarter of 2018 for the Waverley West Arterial Roads Project Audit.

Report Name	Recommendation #	Revised Implementation Date
Review of the Hired Equipment Process Audit	5	3rd Qtr 2018
	6	3rd Qtr 2018
	9	Implemented
Review of Winnipeg Public Library Services Audit	1	Not to be Implemented
Waverley West Arterial Roads Project Audit	5.1	Implemented
	5.5	Implemented

Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred.

With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department.

Specific to recommendation #1 of the Review of Winnipeg Public Library Services, Community Services Management indicated that the recommendation will not be implemented. The recommendation reviewed the governance structure of the Winnipeg Public Library Board. At the time of the audit, most other surveyed Canadian jurisdictions had a governing board model, whereas the City has an advisory board model; therefore, a recommendation was made for the department to study the model and report to City Council, as the authority to revise the model of the library board resides with City Council. The department has decided not to prepare and submit the recommended report to Council. The department has stated that they will maintain the advisory board model, as they believe that the current structure and Board has an effective and good working relationship with administration.

The recommendation should not be viewed as a commentary on the functioning of the Board, or that a change in governance structure is necessary. The focus was to evaluate how practices in other municipalities could potentially benefit Winnipeg.

Actions are underway for the remaining recommendations in progress. The Public Service has reported that seven (7) of the twelve (12) recommendations in progress should be implemented by September 30, 2017. The status of the recommendations in progress will be the focus of our next report card.

Overall, management has addressed eighty-four (84) per cent or sixty (60) of the applicable recommendations made by the Audit Department.

The status of recommendations related to the New Fire Paramedic Stations Construction Project, the Winnipeg Police Service Headquarters Construction Project Audit and the Audit of Procurement for the Pedestrian and Cycling Strategies and Review of the Employee Code of Conduct are reported under separate cover.

FINANCIAL IMPACT

Financial Impact Statement

Date: August 8, 2018

Project Name:

Quarterly Report Card – 2018 Quarter 2

Comments:

There are no financial implications related to the recommendation of this report.

original signed by T. Yanchishyn

Tanis Yanchishyn
Manager of Finance (Campus)
Corporate Finance Department

CONSULTATION

This Report has been prepared in consultation with:

Corporate Finance
Community Services
Customer Services & Communications
Planning, Property and Development
Public Works
Winnipeg Fire Paramedics Service

OURWINNIPEG POLICY ALIGNMENT

N/A

SUBMITTED BY

Department: Audit
Prepared by: B. Mansky, City Auditor
Date: August 13, 2018

Attachments: Quarterly Report Card – 2018 Quarter 2