Agenda – Executive Policy Committee – January 22, 2019

Moved by Councillor

That Rule 10(3) of The Procedure By-law No. 50/2007 be suspended in order that the following item, which was received less than four business days preceding the committee meeting, be considered by Committee.

ADDENDUM
AUDIT COMMITTEE

Item No. 3 Quarterly Report Card – 2018 Quarter 3

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

1. That the Quarterly Report Card – 2018 Quarter 3 report be received as information.

ADMINISTRATIVE REPORT

Title: Quarterly Report Card – 2018 Quarter 3

Critical Path: Audit Committee

AUTHORIZATION

Author	Department Head	CFO	CAO
B. Mansky	B. Mansky City Auditor		

EXECUTIVE SUMMARY

In 2006, the *Status of Audit Recommendations Quarterly Report Card* was introduced at the request of Audit Committee. The *Quarterly Report Card* is produced at the end of March, June, September and December and is presented at the next Audit Committee.

The Quarterly Report Card process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the sixty-seven (67) recommendations from six (6) various audit reports as at September 30, 2018.

RECOMMENDATIONS

1. That the Quarterly Report Card – 2018 Quarter 3 report be received as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d)(iv) that the Audit Committee will “Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee.”

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

HISTORY/DISCUSSION

The attached report provides the results of the Audit Department's review for the period July 1, 2018 through September 30, 2018 of the sixty-seven (67) recommendations from six (6) various audit reports.

Seven (7) recommendations were targeted for implementation in the third quarter of 2018.

Winnipeg Fire Paramedic Services implemented one (1) recommendation that was targeted for implementation in the third quarter of 2018, and implemented one (1) recommendation ahead of schedule for the Emergency Mechanical Services Branch Audit.

Report Name	Recommendation #	Revised Implementation Date
Community By-Law Enforcement Services Audit	5	4th Qtr 2018
Emergency Mechanical Services Branch Audit	2	Implemented
	3	Implemented
Review of the Hired Equipment Process Audit	2	4th Qtr 2018
	4	1st Qtr 2019
	5	4th Qtr 2018
	6	4th Qtr 2018
Traffic Signals Branch Performance Audit	6	1st Qtr 2019

Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred.

With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department.

Actions are underway for the remaining recommendations in progress. The Public Service has reported that six (6) of the ten (10) recommendations in progress should be implemented by December 31, 2018. The status of the recommendations in progress will be the focus of our next report card.

Overall, management has addressed eighty-two (82) per cent or fifty-five (55) of the applicable recommendations made by the Audit Department.

The status of recommendations related to the New Fire Paramedic Stations Construction Project, the Winnipeg Police Service Headquarters Construction Project Audit and the Audit of Procurement for the Pedestrian and Cycling Strategies and Review of the Employee Code of Conduct are reported under separate cover.

FINANCIAL IMPACT

Financial Impact Statement

Date: October 31, 2018

Project Name:

Quarterly Report Card – 2018 Quarter 3

Comments:

There are no financial implications related to the recommendation of this report.

original signed by T. Yanchishyn

Tanis Yanchishyn
Manager of Finance (Campus)
Corporate Finance Department

CONSULTATION

This Report has been prepared in consultation with:

Corporate Finance
Community Services
Customer Services & Communications
Planning, Property and Development
Public Works
Winnipeg Fire Paramedics Service

OURWINNIPEG POLICY ALIGNMENT

N/A

SUBMITTED BY

Department: Audit
Prepared by: B. Mansky, City Auditor
Date: October 26, 2018

Attachments: Quarterly Report Card – 2018 Quarter 3