Agenda – Executive Policy Committee – April 16, 2019

AUDIT COMMITTEE

Item No. 1 Audit of Procurement for the Pedestrian and Cycling Strategies and Review of the Employee Code of Conduct – Status of Audit Recommendations 2018 Quarter 4

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

1. That the Report on Audit of Procurement for the Pedestrian and Cycling Strategies and Review of the Employee Code of Conduct Status of Audit Recommendations 2018 Qtr 4 be received as information.

ADMINISTRATIVE REPORT

Title: Audit of Procurement for the Pedestrian and Cycling Strategies and Review of the Employee Code of Conduct Status of Audit Recommendations 2018 Qtr 4

Critical Path: Audit Committee - Council

AUTHORIZATION

Author	Department Head	CFO	CAO
B. Mansky	B. Mansky City Auditor		

EXECUTIVE SUMMARY

On July 15, 2015, City Council motioned the City Auditor to review the procurement of the contract and subcontracts related to the Pedestrian and Cycling Strategy to ensure that all aspects of the City of Winnipeg Code of Conduct have been upheld and to report their findings back to City Council, as well as provide recommendations to City Council if there is a need to update the City of Winnipeg Employee Code of Conduct.

The Audit Department provided recommendations and City Council adopted the recommendations and requested a quarterly update on the status of recommendations.

The Quarterly Report Card process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the six (6) recommendations from the Report on Audit of Procurement for the Pedestrian and Cycling Strategies and Review of the Employee Code of Conduct as at December 31, 2018.

RECOMMENDATIONS

1. That the Report on Audit of Procurement for the Pedestrian and Cycling Strategies and Review of the Employee Code of Conduct Status of Audit Recommendations 2018 Qtr 4 be received as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d)(iv) that the Audit Committee will “Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee.”

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

HISTORY/DISCUSSION

In 2006, the *Status of Audit Recommendations Quarterly Report Card* was introduced at the request of Audit Committee. The *Quarterly Report Card* is produced at the end of March, June, September and December and is presented at the next Audit Committee.

On July 15, 2015, a motion was made by City Council that the City Auditor immediately undertake an independent review of the procurement of the contract and subcontracts related to the Pedestrian and Cycling Strategy so as to ensure that all aspects of the City of Winnipeg Code of Conduct have been upheld and to report their findings back to Winnipeg City Council"; and that "The City Auditor provide recommendations to City Council in the event that they find there is a need to update and modernize the City of Winnipeg Employee Code of Conduct."

On November 23, 2016, the Audit Department reported to Audit Committee and made six (6) recommendations. On December 14, 2016, City Council adopted these recommendations and requested a quarterly update on the status of recommendations.

The attached report provides the results of the Audit Department's review for the period October 1, 2018 to December 31, 2018 of the six (6) recommendations. Management has provided the status update and implementation dates of the six (6) audit recommendations. Actions are underway for the six (6) recommendations in progress.

The Public Service has reported that four (4) recommendations in progress should be implemented by March 30, 2019 and two (2) recommendations should be implemented by June 30, 2019. The implementation dates for the six (6) recommendations were revised and were originally scheduled to be implemented in the 4th quarter of 2018. The status of the recommendations in progress will be the focus of our next report card.

FINANCIAL IMPACT

Financial Impact Statement

Date: January 29, 2019

Project Name:

Audit of Procurement for the Pedestrian and Cycling Strategies Report and Review of the Employee Code of Conduct Status of Audit Recommendations 2018 Qtr 4

Comments:

There are no financial implications related to the recommendation of this report.

original signed by T. Yanchishyn

Tanis Yanchishyn
Manager of Finance (Campus)
Corporate Finance Department

CONSULTATION

This Report has been prepared in consultation with:

Corporate Finance

OURWINNIPEG POLICY ALIGNMENT

N/A

SUBMITTED BY

Department: Audit
Prepared by: Bryan Mansky, City Auditor
Date: January 29, 2019

Attachments: Audit of Procurement for the Pedestrian and Cycling Strategies Report and Review of the Employee Code of Conduct Status of Audit Recommendations 2018 Qtr 4