Agenda – Executive Policy Committee – April 16, 2019

AUDIT COMMITTEE

Item No. 3 Quarterly Report Card – 2018 Quarter 4

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

1. That the Quarterly Report Card – 2018 Quarter 4 report be received as information.

ADMINISTRATIVE REPORT

Title: Quarterly Report Card – 2018 Quarter 4

Critical Path: Audit Committee

AUTHORIZATION

Author	Department Head	CFO	CAO
B. Mansky	B. Mansky City Auditor		

EXECUTIVE SUMMARY

In 2006, the *Status of Audit Recommendations Quarterly Report Card* was introduced at the request of Audit Committee. The *Quarterly Report Card* is produced at the end of March, June, September and December and is presented at the next Audit Committee.

The Quarterly Report Card process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the one hundred twenty-three (123) recommendations from nine (9) various audit reports as at December 31, 2018.

RECOMMENDATIONS

1. That the Quarterly Report Card – 2018 Quarter 4 report be received as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d)(iv) that the Audit Committee will “Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee.”

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

HISTORY/DISCUSSION

The attached report provides the results of the Audit Department's review for the period October 1, 2018 through December 31, 2018 of the one hundred twenty-three (123) recommendations from nine (9) various audit reports.

Eight (8) recommendations were targeted for implementation in the fourth quarter of 2018.

Four (4) new Audit reports were added to the Quarterly Report Card process in the fourth quarter of 2018:

1. By-Law Amalgamation Audit
2. Legal Service Department Audit
3. Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit
4. William R. Clement Parkway / Sterling Lyon Parkway Extension Project

Community Services Management implemented one (1) recommendation in the fourth quarter of 2018 for the Community By-Law Enforcement Services Audit. Legal Services Management implemented three (3) recommendations and one (1) recommendation ahead of schedule in the fourth quarter of 2018 for the Legal Services Department Audit. Chief Administrative Office Management implemented one (1) recommendation and one (1) recommendation ahead of schedule in the fourth quarter of 2018 for the William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit. Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred.

Planning, Property and Development Department and Community Services Management implemented twenty-one (21) recommendations before or in the fourth quarter of 2018 and one (1) recommendation ahead of schedule for the Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit. Implemented recommendations have not been confirmed by the City Auditor and will be done in Q3 2019 when facilities are open for season.

Report Name	Recommendation #	Revised Implementation Date
Community By-Law Enforcement Services Audit	5	Implemented
Review of the Hired Equipment Process Audit	2	2nd Qtr 2019
	5	1st Qtr 2019
	6	1st Qtr 2019
Waverley West Arterial Roads Project Audit	5.8	1st Qtr 2019
	5.9	1st Qtr 2019
Legal Services Department Audit	2, 5, 9	Implemented
	3	3rd Qtr 2019
	4	2nd Qtr 2019
	8	Implemented ahead of schedule
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit	1, 3, 4, 5, 7, 12, 14, 15, 20, 21, 22, 23, 25, 26, 27, 28, 30, 31, 32, 33, 35	Implemented (to be confirmed in Q3 2019)
	34	Implemented ahead of schedule (to be confirmed in Q3 2019)
William R. Clement Parkway / Sterling Lyon Parkway Extension Project	5	Implemented ahead of schedule
	7	Implemented
	8	Implemented ahead of schedule

Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred.

With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department.

Actions are underway for the remaining recommendations in progress. The Public Service has reported that eight (8) of the fifty (50) recommendations in progress should be implemented by March 30, 2019. The status of the recommendations in progress will be the focus of our next report card.

Overall, management has addressed sixty (60) per cent or sixty-nine (69) of the applicable recommendations made by the Audit Department.

The status of recommendations related to the New Fire Paramedic Stations Construction Project, the Winnipeg Police Service Headquarters Construction Project Audit and the Audit of Procurement for the Pedestrian and Cycling Strategies and Review of the Employee Code of Conduct are reported under separate cover.

FINANCIAL IMPACT

Financial Impact Statement

Date: January 29, 2019

Project Name:

Quarterly Report Card – 2018 Quarter 4

Comments:

There are no financial implications related to the recommendation of this report.

original signed by T. Yanchishyn

Tanis Yanchishyn
Manager of Finance (Campus)
Corporate Finance Department

CONSULTATION

This Report has been prepared in consultation with:

Corporate Finance
Community Services
Customer Services & Communications
Planning, Property and Development
Public Works
Winnipeg Fire Paramedics Service
Legal Services
Chief Administrative Office
Winnipeg Parking Authority

OURWINNIPEG POLICY ALIGNMENT

N/A

SUBMITTED BY

Department: Audit
Prepared by: B. Mansky, City Auditor
Date: January 29, 2019

Attachments: Quarterly Report Card – 2018 Quarter 4