Agenda – Executive Policy Committee – April 16, 2019

AUDIT COMMITTEE

Item No. 5 Strategic Plan and Audit Plan 2019 - 2020

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

1. That Audit Committee (Executive Policy Committee) recommend to City Council that the Strategic Plan & Audit Plan 2019 - 2020 be approved in principle.

ADMINISTRATIVE REPORT

Title: Strategic Plan & Audit Plan 2019 - 2020

Critical Path: Audit Committee – Council

AUTHORIZATION

Author	Department Head	CFO	CAO
M. Giles	M. Giles for/ B. Mansky		

EXECUTIVE SUMMARY

The Audit Department's 2019–2020 Strategic Plan & Audit Plan sets out a list of planned departmental projects for the next two years.

RECOMMENDATIONS

1. That Audit Committee (Executive Policy Committee) recommend to City Council that the Strategic Plan & Audit Plan 2019 - 2020 be approved in principle.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 4(19)(d)(ii) that the Audit Committee will "approve the Audit Department's annual audit plan."

IMPLICATIONS OF THE RECOMMENDATIONS

The Strategic Plan & Audit Plan tentatively sets the Audit Department's operations for the next two years.

HISTORY/DISCUSSION

The attached Strategic Plan & Audit Plan identifies the areas considered a priority through our independent risk assessment. The City Auditor initiates a project after considering the corporate environment, quantitative and qualitative risk determinants, challenges, concerns, and priorities. The two-year Audit Plan is reviewed annually and any adjustments to the planned projects are brought forward to Audit Committee for approval. The Audit Plan was developed with input from elected officials and department management teams.

FINANCIAL IMPACT

Financial Impact Statement

Date: January 17, 2019

Project Name:

Strategic Plan & Audit Plan 2019 - 2020

COMMENTS:

The approval in principle of the Strategic Plan and Audit Plan 2019-2020 carries with it no immediate financial implications. Undertaking the projects identified within the Plans will result in the Audit Department expending its budgeted resources as planned.

original signed by
Tanis Yanchishyn
Manager of Finance (Campus)
Corporate Finance Department

CONSULTATION

This Report has been prepared in consultation with:

Elected Officials
Chief Executive Suite
Departmental Directors and Division Managers
Special Operating Agency COOs

OURWINNIPEG POLICY ALIGNMENT

01-3 Prosperity - Direction 1 - Provide Efficient and Focused Civic Administration and Governance

This report supports the demonstration of accountability through reporting and to continuously pursue innovative, streamlined service delivery and decision-making processes.

SUBMITTED BY

Department: Audit Department
Prepared by: M. Giles, Deputy City Auditor
Date: January 25, 2019

Attachments: