Minutes – Executive Policy Committee – June 11, 2019

AUDIT COMMITTEE

Item No. 4 Audit Department Quarterly Report Card – Status of Audit Recommendations 2019 Quarter 1

EXECUTIVE POLICY COMMITTEE DECISION:

The Executive Policy Committee, in its capacity as the Audit Committee, concurred in the recommendation of the Winnipeg Public Service and received as information the Quarterly Report Card – 2019 Quarter 1.

DECISION MAKING HISTORY:

Moved by Councillor Gillingham,

That the recommendation of the Winnipeg Public Service be concurred in.

Carried

ADMINISTRATIVE REPORT

Title: Audit Department Quarterly Report Card
Status of Audit Recommendations 2019 Qtr 1

Critical Path: Audit Committee

AUTHORIZATION

Author	Department Head	CFO	CAO
B. Mansky	B. Mansky City Auditor		

EXECUTIVE SUMMARY

In 2006, the Status of Audit Recommendations Quarterly Report Card was introduced at the request of Audit Committee. The *Quarterly Report Card* is produced at the end of March, June, September and December and is presented at the next Audit Committee meeting.

The Quarterly Report Card process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the one hundred seventeen (117) recommendations from eight (8) audit reports as at March 31, 2019.

RECOMMENDATIONS

1. That the Quarterly Report Card – 2019 Quarter 1 report be received as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d)(iv) that the Audit Committee will "Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee."

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

HISTORY/DISCUSSION

The attached report provides the results of the Audit Department's review for the period January 1 – March 31, 2019 for the one hundred seventeen (117) recommendations from eight (8) audit reports.

The status of recommendations related to the *New Fire Paramedic Stations Construction Project, the Winnipeg Police Service Headquarters Construction Project Audit and the Audit of Procurement for the Pedestrian and Cycling Strategies and Review of the Employee Code of Conduct* are reported under separate cover.

Nine (9) recommendations were targeted for implementation in 2019 Qtr 1: one recommendation was implemented early; eight (8) recommendation implementations are delayed.

Audit Report	Targeted for Completion in Quarter 1 Recommendation #	Revised implementation date
Review of the Hired Equipment Process Audit	5	2019 Qtr 2
Review of the Hired Equipment Process Audit	6	2019 Qtr 3
Waverley West Arterial Roads Project Audit	5.8	2019 Qtr 2
Waverley West Arterial Roads Project Audit	5.9	2019 Qtr 2
Legal Services Department Audit	6	2019 Qtr 2
Traffic Signals Branch Performance Audit	9	2019 Qtr 2
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit	1	2019 Qtr 2
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit	3	2019 Qtr 2
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit	13	Implemented early

Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred.

With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department.

FINANCIAL IMPACT

Financial Impact Statement

Date: 10-May-19

Project Name:

Audit Department Quarterly Report Card
Status of Audit Recommendations 2019 Qtr 1

COMMENTS:

There are no financial implications related to the recommendation of this report.

Original signed by: T. Yanchishyn
Tanis Yanchishyn
Manager of Finance (Campus)
Corporate Finance Department

CONSULTATION

This Report has been prepared in consultation with:

Corporate Finance
Community Services
Customer Services & Communications
Planning, Property and Development
Public Works
Winnipeg Fire Paramedics Service
Legal Services
Chief Administrative Office
Winnipeg Parking Authority

OURWINNIPEG POLICY ALIGNMENT

N/A

SUBMITTED BY

Department: Audit
Prepared by: B. Mansky, City Auditor
Date: May 21, 2019

Attachments: Quarterly Report Card – 2019 Quarter 1