City of Winnipeg – Audit Department

Quarterly Report Card – Audit Recommendations

2019 – Quarter 1 Summary

For more information visit: Winnipeg.ca/audit

Quarterly Report Card– 2019 Quarter 1- Summary

Audit Recommendations

Once an Audit is complete, the next step is implementation of the recommendations. The Audit Department provides a list of the audit recommendations to the Public Service Director of the department that was audited. The Public Service prepares an action plan and target for completion date. The implementation of the audit recommendations is the responsibility of the Public Service.

Status updates are provided to the Audit Department on a quarterly basis; after presentation to the Audit Committee these are posted at: https://winnipeg.ca/audit/reports.stm. An explanation is provided for any recommendations that will not be implemented; a targeted completion date is noted for implementation in progress.

Documentation supporting implementation is reviewed by the Audit Department for confirmation

The Quarterly Report Card summarizes the status of:

- recommendations targeted for completion in the current quarter (as indicated by the Public Service)
- recommendations currently in progress and the target date for completion
- the number of recommendations implemented to date

Quarterly reports are typically completed for 5 years following the issue date of an audit report.

Recommendations in Progress - 2019 Qtr 1

Audit Report	Recommendation #'s implemented	Recommendation #'s not to be implemented	Recommendation #s In Progress	Targeted for Completion in:		
				2nd Qtr 2019	3rd Qtr 2019	4th Qtr 2019 or later
Traffic Signals Branch Performance Audit, 2011	1, 2, 4, 5, 7, 8, 11, 12, 13, 14, 15, 16, 17, 18, 19	3	6, 9, 10	9		6, 10
Review of the Hired Equipment Process, 2012	1, 3, 7, 8, 9	-	2, 4, 5, 6	2, 4, 5	6	
Non-Monetary Real Estate Grants Audit, 2013	1, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12	-	2		2	
Waverley West Arterial Road Project Audit, 2014	1, 2, 3, 4, 6	-	5.8, 5.9	5.8, 5.9		
By-Law Amalgamation Audit, 2018	-	-	1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11		8,9,10	1, 2, 3, 4, 5, 6, 7, 11
Legal Services Department Audit, 2018	2, 5, 8, 9		1, 3, 4, 6, 7	1, 6	3, 4	7
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	1, 2, 3, 4, 5, 7, 9,12, 14, 15, 20, 21, 22, 23, 25, 26, 27, 28, 29,30, 31, 32, 33, 34, 35 To be confirmed by City Auditor during 2019 operating season	6, 16 ,17, 19, 24	8, 10, 11, 13, 18, 36	8	10, 11, 36	13, 18
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	5, 7, 13		1, 2, 3, 4, 6, 8, 9, 10, 11, 12, 14, 15	1, 3, 4 ,15	2	6, 8, 9, 10, 11, 12, 14
TOTAL	**68**	**6**	**43**	**12**	**11**	**20**

Recommendations implemented in 2019 Qtr 1

William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit	
13.	A Writing Style Guide has been released for use by the public service. (Implemented early)

Recommendations that will not be implemented

	Recommendation	
6.	Ensure that signage detailing the City of Winnipeg Aquatic Facility Admission Standard is posted outside of all aquatic facilities.	The review has been completed. Facility Admission Standards and Manitoba regulated rules are posted inside all locations. Admission requirements are reviewed in person where there are front desks, or close by staff, so that the supervision requirements can be explained. Many locations do not have a safe place to post additional admission standards signage outside of the facility. The Department is not in contravention of a Manitoba Regulation. The risk of not placing additional signage outside of aquatic facilities is that the Department misses an opportunity for more public education on the need for adult supervision of children and general water safety.
16.	Arrange for a full Health & Safety Committee inspection review of all aquatics sites prior to opening for the 2018 season. (Manitoba Regulation W210 – 217/2006, Section 6.1 – 6.5, Section 21, Section 35.15, Section 35)	The review has been completed. Currently, Provincial Health inspections occur by the Province of Manitoba for each of the 10 outdoor pools and 81 wading pools in order to obtain seasonal operating permits. Internally staffed Health & Safety Committee inspections also occur at all 10 outdoor pools, using consistent standards and review criteria checklists; this includes the items identified on the list provided by the Lifesaving Society. The Department has also implemented monthly internal health and safety audits for all wading pool sites effective 2019 season. There are noted differences in practice between the sites. This indicates a training opportunity to ensure greater consistency in the work performed by the staff tasked with conducting the Workplace Safety Inspections.

Recommendations Targeted for Completion in 2019 Qtr 1

Audit Report	Recommendation # Targeted for Completion in Quarter 1	Revised implementation date
Review of the Hired Equipment Process Audit	5	2019 Qtr 2
Review of the Hired Equipment Process Audit	6	2019 Qtr 3
Waverley West Arterial Roads Project Audit	5.8	2019 Qtr 2
Waverley West Arterial Roads Project Audit	5.9	2019 Qtr 2
Legal Services Department Audit	6	2019 Qtr 2
Traffic Signals Branch Performance Audit	9	2019 Qtr 2
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit	1	2019 Qtr 2
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit	3	2019 Qtr 2
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit	13	Implemented early

Summary of Audit Recommendations

Report	Number of Recommendations	Recommendations Implemented	Recommendations Not to be Implemented	Percent Complete
Traffic Signals Performance Audit, 2011	19	15	1	84%
Review of the Hired Equipment Process, 2012	9	5		56%
Non-Monetary Real Estates Grants Audit, 2013	12	11		92%
Waverly West Arterial Road Project Audit, 2014	6	5		83%
By-Law Amalgamation Audit, 2018	11			0%
Legal Services Department Audit, 2018	9	4		44%
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	36	25 To be confirmed by City Auditor during 2019 operating season	5	83 %
William R. Clement Parkway/Sterling Lyon Parkway Extension Project Audit, 2018	15	3		20%
TOTAL	**117**	**68**	**6**	**63 %**