**Agenda – Executive Policy Committee – September 10, 2019**

## AUDIT COMMITTEE

**Item No. 1 Audit Department Quarterly Report Card – Status of Audit Recommendations 2019 Quarter 2**

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

1. That the Quarterly Report Card – 2019 Quarter 2 report be received as information.

# ADMINISTRATIVE REPORT

**Title:** Audit Department Quarterly Report Card
Status of Audit Recommendations 2019 Quarter 2

**Critical Path:** Audit Committee

## AUTHORIZATION

| Author | Department Head | CFO | CAO |
|---|---|---|---|
| B. Mansky | B. Mansky<br>City Auditor | | |

## EXECUTIVE SUMMARY

In 2006, the Status of Audit Recommendations Quarterly Report Card was introduced at the request of Audit Committee. The Quarterly Report Card is produced at the end of March, June, September and December and is presented at the next Audit Committee meeting.

The Quarterly Report Card process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the 117 recommendations from eight audit reports as at June 30, 2019.

## RECOMMENDATIONS

1. That the Quarterly Report Card – 2019 Quarter 2 report be received as information.

## REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d) (iv) that the Audit Committee will “Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee.”

## IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

## HISTORY/DISCUSSION

The attached report provides the results of the Audit Department's review for the period April 1 – June 30, 2019 for the 117 recommendations from eight audit reports.

The status of recommendations related to the New Fire Paramedic Stations Construction Project, the Winnipeg Police Service Headquarters Construction Project Audit and the Audit of Procurement for the Pedestrian and Cycling Strategies and Review of the Employee Code of Conduct are reported under separate cover.

13 recommendations were targeted for implementation in 2019 Quarter 2: six recommendations were implemented as targeted; seven recommendation implementations are delayed.

Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred. With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department.

| Audit Report | Targeted for Completion in Quarter 2 Recommendation # | Revised implementation date |
|---|---|---|
| Review of the Hired Equipment Process Audit | 2 | 2019 Qtr 4 |
| Review of the Hired Equipment Process Audit | 4 | 2019 Qtr 4 |
| Review of the Hired Equipment Process Audit | 5 | 2019 Qtr 4 |
| Waverley West Arterial Roads Project Audit | 5.8, 5.9 | complete |
| Legal Services Department Audit | 1 | Due to employee transition the implementation dates will be provided in 2019 Quarter 3 |
| Legal Services Department Audit | 6 | Due to employee transition the implementation dates will be provided in 2019 Quarter 3 |
| Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018 | 8 | Complete * to be confirmed by City Auditor |
| Traffic Signals Branch Performance Audit | 9 | 2019 Qtr 3 |
| William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit | 1 | Complete |
| William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit | 3 | complete |

| | | |
|---|---|---|
| William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit | 4 | 2019 Qtr 3 |
| William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit | 15 | complete |

## FINANCIAL IMPACT

**Financial Impact Statement** **Date:** August 20, 2019

**Project Name:**
**Audit Department Quarterly Report Card**
**Status of Audit Recommendations 2019 Quarter 2**

**COMMENTS:**

There are no financial implications associated with receiving this report as information.

*original signed by*
Tanis Yanchishyn, CPA, CA
Manager of Finance (Campus)
Corporate Finance Department

## CONSULTATION

This Report has been prepared in consultation with:

Corporate Finance
Community Services
Customer Services & Communications
Planning, Property and Development
Public Works
Winnipeg Fire Paramedics Service
Legal Services
Chief Administrative Office
Winnipeg Parking Authority

## OURWINNIPEG POLICY ALIGNMENT

N/A

## SUBMITTED BY

Department: Audit
Prepared by: B. Mansky, City Auditor
Date: August 2019

Attachments: