City of Winnipeg – Audit Department

Quarterly Report Card – Audit Recommendations

2019 – Quarter 2 Summary

For more information visit: Winnipeg.ca/audit

Quarterly Report Card– 2019 Quarter Two- Summary

Audit Recommendations

Once an Audit is complete, the next step is implementation of the recommendations. The Audit Department provides a list of the audit recommendations to the Public Service Director of the department that was audited. The Public Service prepares an action plan and target for completion date. The implementation of the audit recommendations is the responsibility of the Public Service.

Status updates are provided to the Audit Department on a quarterly basis; after presentation to the Audit Committee these are posted at: https://winnipeg.ca/audit/reports.stm. An explanation is provided for any recommendations that will not be implemented; a targeted completion date is noted for implementation in progress.

Documentation supporting implementation is reviewed by the Audit Department for confirmation

The Quarterly Report Card summarizes the status of:

- recommendations targeted for completion in the current quarter (as indicated by the Public Service)
- recommendations currently in progress and the target date for completion
- the number of recommendations implemented to date

Quarterly reports are typically completed for 5 years following the issue date of an audit report.

Recommendations in Progress in 2019 Quarter Two:

Audit Report	Recommendation numbers implemented	Recommendation numbers not to be implemented	Recommendation numbers in Progress	Targeted for Completion in:					
				3rd Qtr 2019	4th Qtr 2019	1st Qtr 2020	2nd Qtr 2020	3rd Qtr 2020	4th Qtr 2020 & beyond
Traffic Signals Branch Performance Audit, 2011	1, 2, 4, 5, 7, 8, 11,12, 13, 14, 15, 16, 17,18, 19	3	6, 9, 10	9	6		10		
Review of the Hired Equipment Process, 2012	1, 3, 7, 8, 9	-	2, 4, 5, 6	6	2,4,5				
Non-Monetary Real Estate Grants Audit, 2013	1, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12	-	2	2					
Waverley West Arterial Road Project Audit, 2014	1, 2, 3, 4, 5.8, 5.9, 6	All recommendations have been implemented – Audit is closed							
By-Law Amalgamation Audit, 2018	-	-	1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11	11	8,9,10			1,3,4, 5,6	2,7
Legal Services Department Audit, 2018	2, 5, 8, 9		1, 3, 4, 6, 7	Due to employee transition the implementation dates will be provided in 2019 Quarter 3					
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	1, 2, 3, 4, 5, 7, 8, 9, 10, 12, 14, 15, 20,21, 22, 23, 25, 26, 27,28, 29,30, 31, 32, 33, 34, 35 To be confirmed by City Auditor during 2019 operating season	6, 16 ,17, 19, 24	11, 13, 18, 36	11,36	18			13	
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	1,3, 5, 7, 13,15		2,4, 6, 8, 9, 10, 11, 12, 14,	2, 4	6,8,9, 10,11, 12,14				
TOTAL	**74**	**6**	**37**	**8**	**15**		**1**	**6**	**2**

Recommendations implemented in 2019 Quarter Two:

Waverley West Arterial Road Project Audit, 2014	
5.8	The Project Management Manual (v4) has been released internally and the section on environmental management has been updated.
5.9	The Project Management Manual (v4) has been released internally and includes a section on claims management.

William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	
1	The Project Management Manual (v4) has been released internally and includes the process map.
3	The Project Management Manual (v4) has been released internally and outlines the established process.
15	The Project Management Manual (v4) has been released internally and includes health, safety, security and environmental management

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	
8	The wading pool attendant training was updated and completed.
10	Emergency stop buttons have been installed at the bottom and top of the waterslide at Kildonan Park Pool.

Recommendations that will not be implemented in 2019 Quarter Two: none

Recommendations Targeted for Completion in 2019 Quarter Two:

Audit Report	Recommendation # Targeted for Completion in Quarter 2	Revised implementation date
Review of the Hired Equipment Process, 2012	2	2019 Qtr 4
Review of the Hired Equipment Process, 2012	4	2019 Qtr 4
Review of the Hired Equipment Process, 2012	5	2019 Qtr 4
Waverly West Arterial Road Project Audit, 2014	5.8; 5.9	Complete
Legal Services Department Audit, 2018	1	Due to employee transition the implementation dates will be provided in 2019 Quarter 3
Legal Services Department Audit, 2018	6	Due to employee transition the implementation dates will be provided in 2019 Quarter 3
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	8	Complete
Traffic Signals Branch Performance Audit, 2011	9	2019 Qtr 3
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	1	Complete
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	3	Complete
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	4	2019 Qtr 3
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	15	Complete

Summary of Audit Recommendations

Report	Number of Recommendations	Recommendations Implemented	Recommendations Not to be Implemented	Percent Complete
Traffic Signals Performance Audit, 2011	19	15	1	84%
Review of the Hired Equipment Process, 2012	9	5		56%
Non-Monetary Real Estates Grants Audit, 2013	12	11		92%
Waverly West Arterial Road Project Audit, 2014	6	6		100%
By-Law Amalgamation Audit, 2018	11			0%
Legal Services Department Audit, 2018	9	4		44%
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	36	27 To be confirmed by City Auditor during 2019 operating season	5	88%
William R. Clement Parkway/Sterling Lyon Parkway Extension Project Audit, 2018	15	6		40%
TOTAL	**117**	**74**	**6**	**68%**