Agenda – Executive Policy Committee – November 12, 2019

AUDIT COMMITTEE

Item No. 1 Audit Department Quarterly Report Card – Status of Audit Recommendations 2019 Quarter 3

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

1. That the Quarterly Report Card – 2019 Quarter 3 report be received as information.

ADMINISTRATIVE REPORT

Title: Audit Department Quarterly Report Card
Status of Audit Recommendations 2019 Quarter 3

Critical Path: Audit Committee

AUTHORIZATION

Author	Department Head	CFO	CAO
B. Mansky	B. Mansky City Auditor		

EXECUTIVE SUMMARY

In 2006, the Status of Audit Recommendations Quarterly Report Card was introduced at the request of Audit Committee. The Quarterly Report Card is produced at the end of March, June, September and December and is presented at the next Audit Committee meeting.

The Quarterly Report Card process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the 133 recommendations from eight audit reports as at September 30, 2019.

RECOMMENDATIONS

1. That the Quarterly Report Card – 2019 Quarter 3 report be received as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d) (iv) that the Audit Committee will "Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee."

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

HISTORY/DISCUSSION

The attached report provides the results of the Audit Department's review for the period July 1 – September 30, 2019 for the 133 recommendations from eight audit reports.

The status of recommendations related to the New Fire Paramedic Stations Construction Project, the Winnipeg Police Service Headquarters Construction Project Audit and the Audit of Procurement for the Pedestrian and Cycling Strategies and Review of the Employee Code of Conduct are reported under separate cover.

One recommendation was implemented in Quarter 3; seven recommendations were targeted for implementation in 2019 Quarter 3, seven implementation dates are delayed.

Audit Report	Recommendation # Targeted for Completion in Quarter 3	Revised implementation date
Traffic Signals Branch Performance Audit, 2011	9	2019 Qtr 4
Review of the Hired Equipment Process, 2012	6	2019 Qtr 4
Non-Monetary Real Estate Grants Audit, 2013	2	2019 Qtr 4
By-Law Amalgamation Audit, 2018	11	2019 Qtr 4
Legal Services Department Audit 2018	1 Implemented in Quarter 3	
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	11	2020 Qtr 2
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	36	2020 Qtr 3
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	2	2020 Qtr 4
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	4	Implemented in 2019 Qtr 2

Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred. With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department.

FINANCIAL IMPACT

Financial Impact Statement

Date: October 28, 2019

Project Name:

Audit Department Quarterly Report Card
Status of Audit Recommendations 2019 Quarter 3

Comments:

There are no financial implications associated with receiving this report as information.

(Original signed by T.Yanchishyn)
Tanis Yanchishyn
Manager of Finance (Campus)
Corporate Finance Department

CONSULTATION

This Report has been prepared in consultation with:

Corporate Finance
Community Services
Customer Services & Communications
Planning, Property and Development
Public Works
Winnipeg Fire Paramedics Service
Legal Services
Chief Administrative Office
Winnipeg Parking Authority

OURWINNIPEG POLICY ALIGNMENT

N/A

WINNIPEG CLIMATE ACTION PLAN ALIGNMENT

N/A

SUBMITTED BY

Department: Audit
Prepared by: B. Mansky, City Auditor
Date: October,2019

Attachments:

Quarterly Report Card – 2019 Quarter 3