Agenda – Executive Policy Committee – May 22, 2020

AUDIT COMMITTEE

Item No. 7 Audit Department Quarterly Report Card – Status of Audit Recommendations 2019 Quarter 4

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

1. That the Quarterly Report Card – 2019 Quarter 4 report be received as information.

ADMINISTRATIVE REPORT

Title: Audit Department Quarterly Report Card
Status of Audit Recommendations 2019 Quarter 4

Critical Path: Audit Committee

AUTHORIZATION

Author	Department Head	CFO	CAO
B. Mansky	B. Mansky City Auditor		

EXECUTIVE SUMMARY

In 2006, the Status of Audit Recommendations Quarterly Report Card was introduced at the request of Audit Committee. The Quarterly Report Card is produced at the end of March, June, September and December and is presented at the next Audit Committee meeting.

The Quarterly Report Card process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the 133 recommendations from eight audit reports as at December 31, 2019.

RECOMMENDATIONS

1. That the Quarterly Report Card – 2019 Quarter 4 report be received as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d) (iv) that the Audit Committee will "Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee."

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

HISTORY/DISCUSSION

The attached report provides the results of the Audit Department's review for the period October 1 – December 31, 2019 for the 133 recommendations from eight audit reports.

The status of recommendations related to the New Fire Paramedic Stations Construction Project, the Winnipeg Police Service Headquarters Construction Project Audit and the Audit of Procurement for the Pedestrian and Cycling Strategies and Review of the Employee Code of Conduct are reported under separate cover.

Twenty one recommendations were targeted for implementation in 2019 Quarter 4, eight recommendations were implemented in Quarter 4; 12 implementation dates are delayed.

Audit Report	**Recommendation # Targeted for Completion in Quarter 4**	**Revised implementation date**
By-Law Amalgamation Audit, 2018	11	2020 Qtr 1
Independent Fairness Commissioner-Summary Report Recommendations-July2019	1.9	2020 Qtr 2
Independent Fairness Commissioner-Summary Report Recommendations-July2019	3.1	2020 Qtr 3
Independent Fairness Commissioner-Summary Report Recommendations-July2019	5.4	2020 Qtr 2
Non-Monetary Real Estate Grants Audit, 2013	2	2020 Qtr 2
Review of the Hired Equipment Process, 2012	2, 4, 5, 6	No dates provided.[1]
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	12	2020 Qtr 2
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	18	2020 Qtr 2
Traffic Signals Branch Performance Audit, 2011	6	2020 Qtr 3

Note 1: The Department did not provide revised implementation dates for the recommendations. The City Auditor will no longer be tracking implementation status of these recommendations.

Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred. With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department.

FINANCIAL IMPACT

Financial Impact Statement

Date: February 11, 2020

Project Name:

Audit Department Quarterly Report Card
Status of Audit Recommendations 2019 Quarter 4

Comments:

There are no financial implications associated with receiving this report as information.

(Original signed by T.Yanchishyn)
Tanis Yanchishyn
Manager of Finance (Campus)
Corporate Finance Department

CONSULTATION

This Report has been prepared in consultation with:

Corporate Finance
Community Services
Customer Services & Communications
Planning, Property and Development
Public Works
Legal Services
Winnipeg Parking Authority

OURWINNIPEG POLICY ALIGNMENT

N/A

WINNIPEG CLIMATE ACTION PLAN ALIGNMENT

N/A

SUBMITTED BY

Department: Audit
Prepared by: B. Mansky, City Auditor
Date: February 2020

Attachments:

Quarterly Report Card – 2019 Quarter 4