City of Winnipeg – Audit Department

Quarterly Report Card – Audit Recommendations

2020 – Quarter 1 Summary

For more information visit: Winnipeg.ca/audit

Quarterly Report Card– 2020 Quarter 1- Summary

Audit Recommendations

Once an Audit is complete, the next step is implementation of the recommendations. The Audit Department provides a list of the audit recommendations to the Public Service Director of the department that was audited. The Public Service prepares an action plan and target for completion date. The implementation of the audit recommendations is the responsibility of the Public Service.

The Public Service provides status updates quarterly for all recommendations. For recommendations in progress this includes a target completion date. Documentation supporting implementation and progress is reviewed by the Audit Department for confirmation. If the Public Service indicates that a recommendation will not be implemented, residual risk is identified and discussed with the Department and reported to Audit Committee.

After presentation to the Audit Committee these are posted at: Audit Quarterly Reports

The Quarterly Report Card summarizes the status of:

- recommendations targeted for completion in the current quarter (as indicated by the Public Service)
- recommendations currently in progress and the target date for completion
- the number of recommendations implemented to date

Quarterly reports are typically completed for five years following the issue date of an audit report.

Recommendations in Progress –2020 Quarter 1

Audit Report	Recommendation numbers implemented	Recommendation numbers not to be implemented	Recommendation numbers in Progress	Target dates for implementation of recommendations in progress					
				2020 Qtr 2	2020 Qtr 3	2020 Qtr 4	2021 Qtr 1	2021 Qtr 2	2021 Qtr 3 & beyond
By-Law Amalgamation Audit, 2018	-	-	1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11		8, 9, 10,11			1, 3, 4, 5, 6,	2, 7
Independent Fairness Commissioner-Summary Report Recommendations-July2019	1.1, 1.2, 1.4, 1.5, 1.8, 1.10, 1.11, 2.1, 2.3, 4.1, 4.2, 5.4, 5.5	1.3, 1.6, 2.2, 5.2	1.7, 1.9, 3.1, 5.1, 5.3	1.9, 5.1,	1.7, 3.1, 5.3				
Legal Services Department Audit, 2018	1, 2, 5, 8, 9	-	3, 4, 6, 7			3, 4, 6, 7			
Non-Monetary Real Estate Grants Audit, 2013	1, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12	-	2	2					
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	3, 5, 7, 8, 9, 10, 21, 23, 25, 27, 28, 29, 32	6, 16 ,17, 19, 24, 34	1, 2, 4, 11,12,13, 14,15, 18, 20, 22, 26, 30, 31, 33, 35, 36	12,13, 18	1,2,4, 11,14,15 20,22,26 30,31,33 35, 36				
Traffic Signals Branch Performance Audit, 2011	1, 2, 4, 5, 7, 8, 9, 10, 11, 12,13, 14, 15, 16, 17, 18, 19	3	6		6				
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	1, 3, 4, 5, 6, 7, 13, 15	-	2, 8, 9, 10, 11, 12, 14,			2,8, 9,10, 11,12 14			
TOTAL	**67**	**11**	**46**	**6**	**22**	**11**	**0**	**5**	**2**

Recommendations implemented in 2020 Quarter 1:

Independent Fairness Commissioner-Summary Report Recommendations-July2019		
No.	**Recommendation**	**Action**
5.4	The criteria and guidance of the risk and complexity tool should be reviewed and amended as appropriate by Management to ensure that the guidance included in the tool is sufficiently clear, and documentation as to the specific ratings of each section are required to a level such that an individual with a reasonable and comparable understanding of the Transaction could come to the same conclusion for each individual criteria.	The risk and complexity tool has been rescored, wherein a lower threshold is required to require RFPs. Any score which is around the minimum threshold (above or below) is reviewed by staff. Management will continue to monitor the tool

Recommendations Targeted for Completion in 2020 Quarter 1:

Audit Report	**Recommendation # Targeted for Completion in Quarter 4**	**Revised implementation date**
By-Law Amalgamation Audit, 2018	11	2020 Qtr 3
Independent Fairness Commissioner-Summary Report Recommendations-July2019	1.7	2020 Qtr 3
Independent Fairness Commissioner-Summary Report Recommendations-July2019	5.3	2020 Qtr 3

Summary of Audit Recommendations

Report	Number of Recommendations	Recommendations Implemented	Recommendations Not to be Implemented	Percent Complete
By-Law Amalgamation Audit, 2018	11			0%
Independent Fairness Commissioner-Summary Report Recommendations-July2019	22	13	4	77%
Legal Services Department Audit, 2018	9	5		56%
Non-Monetary Real Estate Grants Audit, 2013	12	11		92%
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	36	13	6	50%
Traffic Signals Performance Audit, 2011	19	17	1	95%
William R. Clement Parkway/Sterling Lyon Parkway Extension Project Audit, 2018	15	8		53%
TOTAL	**124**	**66**	**11**	**63%**