City of Winnipeg – Audit Department

Quarterly Report Card – Audit Recommendations

2020 – Quarter 4 Summary

For more information visit: Winnipeg.ca/audit

Quarterly Report Card– 2020 Quarter 4- Summary

Audit Recommendations

Once an Audit is complete, the next step is implementation of the recommendations. The Audit Department provides a list of the audit recommendations to the Public Service Director of the department that was audited. The Public Service prepares an action plan and target for completion date. The implementation of the audit recommendations is the responsibility of the Public Service.

The Public Service provides status updates quarterly for all recommendations. For recommendations in progress this includes a target completion date. Documentation supporting implementation and progress is reviewed by the Audit Department for confirmation. If the Public Service indicates that a recommendation will not be implemented, residual risk is identified and discussed with the Department and reported to Audit Committee.

After presentation to the Audit Committee these are posted at: Audit Quarterly Reports

The Quarterly Report Card summarizes the status of:

- recommendations targeted for completion in the current quarter (as indicated by the Public Service)
- recommendations currently in progress and the target date for completion
- the number of recommendations implemented to date

Recommendations in Progress –2020 Quarter 4

Audit Report	Recommendation numbers implemented	Recommendation numbers not to be implemented	Recommendation numbers in Progress	Target dates for implementation of recommendations in progress				
				2021 Qtr 1	2021 Qtr 2	2021 Qtr 3	2021 Qtr 4	2022 Qtr 1 & beyond
By-Law Amalgamation Audit, 2018	-	-	1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11		8, 9,10,11			1, 2,3, 4, 5, 6, 7
New this quarter: ContinuousMonitoring-AdvisoryReportApril2020	-	-	1				1	
Independent Fairness Commissioner-Summary Report Recommendations-July2019	1.1, 1.2, 1.4, 1.5, 1.8, 1.10, 1.11, 2.1, 2.3, 3.1 ,4.1, 4.2,5.3, 5.4, 5.5	1.3, 1.6, 2.2, 5.2	1.7*, 1.9**, 5.1*,	1.7* 1.9** 5.1*				
Legal Services Department Audit, 2018	1, 2, 5, 6, 8, 9	-	3, 4, 7	3, 7	4			
Non-Monetary Real Estate Grants Audit, 2013	1, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12	-	2		2			
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	1, 3, 4, 5, 7, 8, 9, 10, 11,12, 13, 21, 23, 25, 26,27, 28, 29, 30, 32, 36	6, 16 ,17, 19, 24, 34	2, 14, 15,18, 20, 22, 31, 33, 35,		2, 14, 15 18, 20, 22, 31, 33, 35			
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	1, 3, 4, 5, 6, 7, 11, 13, 15	-	2, 8, 9, 10, 12, 14,	2	8, 9, 10, 12, 14			
TOTAL	**62**	**10**	**34**	**6**	**20**	**-**	**1**	**7**

1.7*/5.1* PPD has developed client questionnaires and market analysis templates , to date no client requests have been processed for this type of transaction
1.9** is under review by Legal Services.

Recommendations Targeted for Completion in 2020 Quarter 4:

Audit Report	Recommendation # Targeted for Completion in Quarter 4	Revised implementation date
By-Law Amalgamation Audit, 2018	8	2021 Qtr 2
By-Law Amalgamation Audit, 2018	9	2021 Qtr 2
By-Law Amalgamation Audit, 2018	10	2021 Qtr 2
Independent Fairness Commissioner-Summary Report Recommendations-July2019	1.7	PPD has developed client questionnaires and a market analysis template, no client requests have been processed for this type of transaction
Independent Fairness Commissioner-Summary Report Recommendations-July2019	5.1	PPD has developed client questionnaires and a market analysis template, no client requests have been processed for this type of transaction
Legal Services Department Audit, 2018	3	2021 Qtr 2
Legal Services Department Audit, 2018	7	2021 Qtr 2
Non-Monetary Real Estate Grants Audit, 2013	2	2021 Qtr 2
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	2	2021 Qtr 2
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	18	2021 Qtr 2
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	2	2021 Qtr 1

There were no recommendations implemented in 2020 Quarter 4

Summary of Audit Recommendations

Report	Number of Recommendations	Recommendations Implemented	Recommendations Not to be Implemented	Percent Complete
By-Law Amalgamation Audit, 2018	11	-	-	0%
ContinuousMonitoring-AdvisoryReportApril2020	1	-	-	0%
Independent Fairness Commissioner-Summary Report Recommendations-July2019	22	15	4	86%
Legal Services Department Audit, 2018	9	6		66%
Non-Monetary Real Estate Grants Audit, 2013	12	11		92%
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	36	21	6	75%
William R. Clement Parkway/Sterling Lyon Parkway Extension Project Audit, 2018	15	9		60%
TOTAL	**106**	**62**	**10**	**68%**