Agenda – Executive Policy Committee – September 21, 2021

AUDIT COMMITTEE

Item No. 4 Summary Report- Implementation of Audit Recommendations – 2021 Quarter 2

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

1. That the Implementation of Audit Recommendations Status - 2021 Quarter 2 report be received as information.

ADMINISTRATIVE REPORT

Title: Summary Report- Implementation of Audit Recommendations - 2021 Quarter 2

Critical Path: Audit Committee - Council

AUTHORIZATION

Author	Department Head	CFO	CAO
B. Mansky	B. Mansky City Auditor		

EXECUTIVE SUMMARY

In 2006, the Status of Audit Recommendations Quarterly Report Card was introduced at the request of Audit Committee. The Quarterly Report Card is produced at the end of March, June, September and December and is presented at the next Audit Committee meeting.

The Implementation of Audit Recommendations report process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the 107 recommendations from eight audit reports as at June 30, 2021.

RECOMMENDATIONS

1. That the Implementation of Audit Recommendations Status -2021 Quarter 2 report be received as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d) (iv) that the Audit Committee will “Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee.”

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

HISTORY/DISCUSSION

The attached report provides the results of the Audit Department's review for the period April 1- June 30, 2021 for the 107 recommendations from eight audit reports.

The status of the implementation of recommendations related to the New Fire Paramedic Stations Construction Project and the Winnipeg Police Service Headquarters Construction Project Audit are reported under separate cover.

Nine recommendations were targeted for implementation in 2021 Quarter 2. Four recommendations were implemented on time; 5 implementations were delayed and 6 recommendations were implemented early.

Audit Report	Recommendation # Targeted for Completion in 2021 Quarter 2	Status
Independent Fairness Commissioner-Summary Report Recommendations-July2019 Final report – all recommendations have been implemented	1.9	Implemented early to be confirmed by Auditor in 2021 Qtr 3
Non-Monetary Real Estate Grants Audit, 2013 Final report – all recommendations have been implemented	2	Implemented
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018 Final report – all recommendations have been implemented	22,31,35	Implemented to be confirmed by Auditor in 2021 Qtr 3
Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018 Final report – all recommendations have been implemented	2, 14, 15, 20, 33	Implemented early to be confirmed by Auditor in 2021 Qtr 3
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	8, 9, 10, 12, 14	Implementation delayed

Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred. With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department.

FINANCIAL IMPACT

Financial Impact Statement **Date:** July 29, 2021

Project Name:

Summary Report- Implementation of Audit Recommendations - 2021 Quarter 2

Comments:

There are no financial implications associated with receiving this report as information.

(Approved electronically by J. Peters)
Jocelyn Peters, CPA, CGA
Manager of Finance & Administration (Campus)

CONSULTATION

This Report has been prepared in consultation with:
Corporate Finance
Community Services
Customer Services & Communications
Planning, Property and Development
Public Works
Legal Services
Winnipeg Parking Authority

OURWINNIPEG POLICY ALIGNMENT

N/A

WINNIPEG CLIMATE ACTION PLAN ALIGNMENT

N/A

SUBMITTED BY

Department: Audit
Prepared by: B. Mansky, City Auditor
Date: July 2021

Attachments:

2021 Qtr 2 - Implementation of Audit Recommendations Report