City of Winnipeg – Audit Department

Implementation of Audit Recommendations Report
2021 – Quarter 2

For more information visit: Winnipeg.ca/audit

Implementation of Audit Recommendations Report- 2021 Quarter 2- Summary

Audit Recommendations

Once an Audit is complete, the next step is implementation of the recommendations. The Audit Department provides a list of the audit recommendations to the Public Service Director of the department that was audited. The Public Service prepares an action plan and target for completion date. The implementation of the audit recommendations is the responsibility of the Public Service.

The Public Service provides status updates quarterly for all recommendations. For recommendations in progress this includes a target completion date. Documentation supporting implementation and progress is reviewed by the Audit Department for confirmation. If the Public Service indicates that a recommendation will not be implemented, residual risk is identified and discussed with the Department and reported to Audit Committee.

After presentation to the Audit Committee these are posted at: Audit Quarterly Reports

July 2021: Quarterly Report on the Implementation of Audit Recommendations for the period ending June 30, 2021

This report summarizes the status of:

- the number of recommendations implemented to date
- the number of recommendations that will not be implemented
- implementation of recommendations currently in progress and the target date for completion

Summary of Audit Recommendations

	Number of Recommendations				
Report	**Total**	**Implemented**	**In Progress**	**Not to be Implemented**	**Percent Complete**
New report this quarter: BusinessImprovementZoneGovernanceReview 2021	1		1		0%
By-Law Amalgamation Audit, 2018	11	-	11	-	0%
ContinuousMonitoring-AdvisoryReportApril2020	1	-	1	-	0%
New report this quarter: ContractVendorManagementAudit2020	9	1	8		11%
Final report: Independent Fairness Commissioner-Summary Report Recommendations-July2019	22	18 *1 recommendation implementation to be confirmed 2021 Qtr 3	0	4	100%
Final report: Non-Monetary Real Estate Grants Audit, 2013	12	12			100%
Final report: Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	36	30 *8 recommendation implementations to be confirmed 2021 Qtr	0	6	100%
William R. Clement Parkway/Sterling Lyon Parkway Extension Project Audit, 2018	15	10	5		67%
TOTAL	107	**71**	**26**	**10**	**76%**

* Implementation of audit recommendations that will be confirmed by the City Auditor in 2021 Qtr 3 are noted on the comments below.

Recommendations implemented in 2021 Qtr 2

ContractVendorManagementAudit2020		
1	We recommend that the Chief Administrative Officer designate a corporate group for oversight of contract management of goods and/or services contracts.	Corporate Finance, Materials Management Division acknowledges their role in the implementation of the Recommendations and are actively working on them.

Independent Fairness Commissioner-Summary Report Recommendations-July2019		
1.9	The terms and conditions template provided by Legal Services for use in these Transactions should be reviewed with Management to ensure the objectives of the RETMF are met, and to ensure the corresponding evidence required is retained within file documentation.	Legal will be incorporating the following wording into their Terms and Conditions template "The Lessee shall not affect the Leased Premises in any undesirable environmental manner. If the Lessee causes the Leased Premises to become contaminated, as determined by the City, the City may require the Lessee to immediately restore the land to its original condition. The City shall not be responsible for contamination caused by the Lessee and the Lessee shall indemnify the City from and against same." City Auditor to confirm updated Terms and Conditions in 2021 Qtr 3.

Non-Monetary Real Estate Grants Audit, 2013		
1	We recommend that the Manager of Real Estate and the Superintendent of Property Management work with the Director of PP&D to prepare report for the consideration of Council that clarifies the applicability of criterion 6 and clarifies the language in the "Policy on the Sale/Lease of city Lands to Non- Profit Organizations.	This report was presented at the Standing Policy Committee on Property and Development, Heritage and Downtown Development on July 6, 2021. The Standing Policy Committee on Property and Development, Heritage and Downtown Development laid the matter over to its meeting on September 14, 2021.

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018		
2	Add a bottom visibility/object check to the end of day procedures for all outdoor pools, and ensure that these checks are conducted and logged on a daily basis. (Manitoba Regulation P210 – 132-97, Section 17 {1})	The Closing Lifeguard check list was updated to include 'check bottom of pool'. To be confirmed by the Auditor during site visits 2021 Qtr 3.

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018		
14	Ensure that all outdoor pools have a 150mm black disk on a white background permanently affixed at the deepest point in the pool. (Manitoba Regulation P210-132/97, Section 17 {1}).	Completed. To be confirmed by the Auditor during site visits 2021 Qtr 3.

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018		
15	Ensure that all skimmers/lids are secured so that the skimmers cannot be accessed by patrons. (Manitoba Regulation P210 – 132-97, Section 8 {2})	Completed. To be confirmed by the Auditor during site visits 2021 Qtr 3.

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018		
20	Outline all main drains/outlets in outdoor pools with a band of contrasting colour.	Completed. To be confirmed by the Auditor during site visits 2021 Qtr 3.

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018		
22	Install an eyewash station in the Filter Room, mount in a location where it is accessible and can function properly and ensure eyewash bottle and/or solution is present. (Manitoba Regulation W210 – 217/2006, Section 6.1 – 6.5, Section 21)	Eyewash bottles will be stored on a clearly labeled shelf at Freight House Pool. To be confirmed by the Auditor during site visits 2021 Qtr 3.

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018		
31	Review the storage of pool chemicals on site. (Manitoba Regulation W210 – 217/2006, Section 35.2)	Completed. To be confirmed by Auditor during site visits 2021 Qtr 3.

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018		
33	Review safety measures for the metal covers/plates located on the edge of the wading pool and the surrounding apron. (Manitoba Regulation W210 – 217/2006, Section 8 (2)).	Will be completed for various pool openings. To be confirmed by Auditor during site visits 2021 Qtr 3.

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018		
35	Ensure that the ledge in the deep end of the pool is painted or outlined in a different colour.	Completed. To be confirmed by Auditor during site visits 2021 Qtr 3.

Recommendation numbers in Progress –2021 Quarter 2

Audit Report	Recommendation numbers implemented	Recommendation numbers not to be implemented	Recommendation numbers in Progress	Target dates for implementation of recommendations in progress			
				2021 Qtr 3	2021 Qtr 4	2022 Qtr 1	2022 Qtr 2 & beyond
New report this quarter BusinessImprovementZoneGovernanceReview2021			1		1		
By-Law Amalgamation Audit, 2018			1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11				1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11
ContinuousMonitoring-AdvisoryReportApril2020			1		1		
New report this quarter ContractVendorManagementAudit2020	1		2, 3, 4, 5, 6, 7, 8,9				2, 3, 4, 5, 6, 7, 8,9
Final report Independent Fairness Commissioner-Summary Report Recommendations-July2019	1.1, 1.2, 1.4, 1.5, 1.7, 1.8, 1.9, 1.10, 1.11, 2.1, 2.3, 3.1 4.1, 4.2,5.1, 5.3, 5.4, 5.5	1.3, 1.6, 2.2, 5.2					
Final report: Non-Monetary Real Estate Grants Audit, 2013	1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12						
Final report: Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	1, 2, 3, 4, 5, 7, 8, 9, 10, 11,12, 13, 14,15,18,20, 21, 22, 23, 25, 26,27, 28, 29, 30, 31,32,33, 35, 36	6, 16 ,17, 19, 24, 34					
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	1, 2, 3, 4, 5, 6, 7, 11, 13, 15	-	8, 9, 10, 12, 14,	8,9,10 12,14			
TOTAL	**71**	**10**	**26**	**5**	**2**		**19**