Agenda – Executive Policy Committee – December 8, 2021

AUDIT COMMITTEE

Item No. 1 Implementation of Audit Recommendations - 2021 Quarter 3 - Summary Report

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

1. That the Implementation of Audit Recommendations Status - 2021 Quarter 3 report be received as information.

ADMINISTRATIVE REPORT

Title: Implementation of Audit Recommendations - 2021 Quarter 3 - Summary Report

Critical Path: Audit Committee - Council

AUTHORIZATION

Author	Department Head	CFO	CAO
B. Mansky	B. Mansky City Auditor		

EXECUTIVE SUMMARY

In 2006, the Status of Audit Recommendations Quarterly Report Card was introduced at the request of Audit Committee. The Quarterly Report Card is produced at the end of March, June, September and December and is presented at the next Audit Committee meeting.

The Implementation of Audit Recommendations report process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the 95 recommendations from seven audit reports as at September 30, 2021.

RECOMMENDATIONS

1. That the Implementation of Audit Recommendations Status -2021 Quarter 3 report be received as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d) (iv) that the Audit Committee will “Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee.”

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

HISTORY/DISCUSSION

The attached report provides the results of the Audit Department's review for the period July 1, 2021- September 30, 2021 for the 95 recommendations from seven audit reports.

In 2021 Qtr 3:
Nine recommendations were subject to Auditor confirmation – eight were confirmed as implemented.
Six recommendations were targeted for implementation - six implementations were delayed.

Audit Report	Recommendation # Targeted for Completion in 2021 Quarter 3	Status
Independent Fairness Commissioner-Summary Report Recommendations-July2019	1.9	To confirmed by Auditor in 2021 Qtr 4
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	8, 9, 10, 12, 14	Implementation delayed

Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred. With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department.

FINANCIAL IMPACT

Financial Impact Statement **Date:** November 8, 2021

Project Name:

Implementation of Audit Recommendations - 2021 Quarter 3 - Summary Report

Comments:

There are no financial implications associated with receiving this report as information.

(Approved, digitally, by J. Peters)
Jocelyn Peters, CPA, CGA
Manager of Finance & Administration
Campus Departments

CONSULTATION

This Report has been prepared in consultation with:
Corporate Finance
Community Services
Customer Services & Communications
Planning, Property and Development
Public Works
Legal Services
Winnipeg Parking Authority

OURWINNIPEG POLICY ALIGNMENT

N/A

WINNIPEG CLIMATE ACTION PLAN ALIGNMENT

N/A

SUBMITTED BY

Department: Audit
Prepared by: B. Mansky, City Auditor
Date: November 2021

Attachments:

2021 Qtr 3 - Implementation of Audit Recommendations Report