City of Winnipeg – Audit Department

Implementation of Audit Recommendations Report
2021 – Quarter 3

For more information visit: Winnipeg.ca/audit

Implementation of Audit Recommendations Report- 2021 Quarter 3 - Summary

Audit Recommendations

Once an Audit is complete, the next step is implementation of the recommendations. The Audit Department provides a list of the audit recommendations to the Public Service Director of the department that was audited. The Public Service prepares an action plan and target for completion date. The implementation of the audit recommendations is the responsibility of the Public Service.

The Public Service provides status updates quarterly for all recommendations. For recommendations in progress this includes a target completion date. Documentation supporting implementation and progress is reviewed by the Audit Department for confirmation. If the Public Service indicates that a recommendation will not be implemented, residual risk is identified and discussed with the Department and reported to Audit Committee.

After presentation to the Audit Committee these are posted at: Audit Quarterly Reports

November 2021: Quarterly Report on the Implementation of Audit Recommendations for the period ending September 30, 2021

This report summarizes the status of:

- the number of recommendations implemented to date
- the number of recommendations that will not be implemented
- implementation of recommendations currently in progress and the target date for completion

Summary of Audit Recommendations at September 30, 2021

	Number of Recommendations				
Report	**Total**	**Implemented**	**In Progress**	**Not to be Implemented**	**Percent Complete**
BusinessImprovementZoneGovernanceReview2021	1		1		0%
By-Law Amalgamation Audit, 2018	11	-	11	-	0%
Final Report ContinuousMonitoring-AdvisoryReportApril2020	1	1	-	-	100%
ContractVendorManagementAudit2020	9	1	8		11%
Independent Fairness Commissioner-Summary Report Recommendations-July2019	22	17	1	4	95%
Final report: Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018	36	29	0	7	100%
William R. Clement Parkway/Sterling Lyon Parkway Extension Project Audit, 2018	15	10	5		67%
TOTAL	**95**	**58**	**26**	**11**	**75%**

Recommendations implemented and confirmed in 2021 Qtr 3

ContinuousMonitoring-AdvisoryReportApril2020		
1	We recommend that the Chief Financial Officer develop and implement a system of continuous monitoring of overtime and standby pay.	The Corporate Finance Department has received response -overtime and standby pay expenses report are accurate and appropriately approved. This information has also been shared with Human resources to review for opportunities to manage. The Corporate Finance Department will run this report annually and supply to department and Human resources for their review.

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018		
2	Add a bottom visibility/object check to the end of day procedures for all outdoor pools, and ensure that these checks are conducted and logged on a daily basis. (Manitoba Regulation P210 – 132-97, Section 17 {1})	Audit confirmed that management has developed the required policy/procedure. Upon site inspection, the Auditor was unable to confirm employee compliance. Management advises that they will address the performance issues of non-compliance. The Audit Department requested that Management monitor employee compliance and take appropriate action as required

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018		
14	Ensure that all outdoor pools have a 150mm black disk on a white background permanently affixed at the deepest point in the pool. (Manitoba Regulation P210-132/97, Section 17 {1}).	During the Auditor site visits in 2021 Qtr 3, it is was found that the 150mm black disk is permanently affixed at the deepest point of all pools however 6 pools - Freight House, Fort Garry, Kildonan Park, Transcona, Westdale, Windsor Park did not have a white background. The pool tanks are light blue in colour purposefully to reduce glare to enable Lifeguards better vision. After inspection by a Provincial Public Health Inspector, these pools are issued operating permits by Manitoba Public Health on an annual basis. Recommendation not to be implemented.

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018		
15	Ensure that all skimmers/lids are secured so that the skimmers cannot be accessed by patrons. (Manitoba Regulation P210 – 132-97, Section 8 {2})	Implementation confirmed by the Auditor during site visits in 2021 Qtr 3.

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018		
20	Outline all main drains/outlets in outdoor pools with a band of contrasting colour.	Implementation confirmed by the Auditor during site visits in 2021 Qtr 3.

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018		
22	Install an eyewash station in the Filter Room, mount in a location where it is accessible and can function properly and ensure eyewash bottle and/or solution is present. (Manitoba Regulation W210 – 217/2006, Section 6.1 – 6.5, Section 21)	Implementation confirmed by the Auditor during site visit in 2021 Qtr 3.

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018		
31	Review the storage of pool chemicals on site. (Manitoba Regulation W210 – 217/2006, Section 35.2)	Implementation confirmed by the Auditor during site visits in 2021 Qtr 3.

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018		
33	Review safety measures for the metal covers/plates located on the edge of the wading pool and the surrounding apron. (Manitoba Regulation W210 – 217/2006, Section 8 (2)).	Implementation confirmed by the Auditor during site visits in 2021 Qtr 3.

Safety Review of the City of Winnipeg Outdoor Aquatic Facilities Audit, 2018		
35	Ensure that the ledge in the deep end of the pool is painted or outlined in a different colour.	Implementation confirmed by the Auditor during site visits in 2021 Qtr 3.

Recommendations Targeted for Completion in 2021 Quarter 3:

Audit Report	Recommendation # Targeted for Completion in Quarter 3	Revised implementation date
Independent Fairness Commissioner-Summary Report Recommendations-July2019	1.9	2021 Qtr 4
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	8	2021 Qtr 4
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	9	2021 Qtr 4
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	10	2021 Qtr 4
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	12	2021 Qtr 4
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	14	2021 Qtr 4

Recommendation numbers in Progress – 2021 Quarter 3

Audit Report	Recommendation numbers implemented	Recommendation numbers not to be implemented	Recommendation numbers in Progress	Target dates for implementation of recommendations in progress			
				2021 Qtr 4	2022 Qtr 1	2022 Qtr 2	2022 Qtr 3 & beyond
BusinessImprovementZoneGovernanceReview 2021			1	1			
By-Law Amalgamation Audit, 2018			1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11				1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11
ContractVendorManagementAudit2020	1		2, 3, 4, 5, 6, 7, 8, 9			2, 3, 4, 5, 6, 7, 8, 9	
Independent Fairness Commissioner-Summary Report Recommendations-July2019	1.1, 1.2, 1.4, 1.5, 1.7, 1.8, 1.9, 1.10, 1.11, 2.1, 2.3, 3.1 4.1, 4.2,5.1, 5.3, 5.4, 5.5	1.3, 1.6, 2.2, 5.2	1.9	1.9			
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	1, 2, 3, 4, 5, 6, 7, 11, 13, 15	-	8, 9, 10, 12, 14,	8,9,10 12,14			
TOTAL	**29**	**4**	**26**	**7**		**8**	**11**