Agenda – Executive Policy Committee – May 18, 2022

AUDIT COMMITTEE

Item No. 3 Implementation of Audit Recommendations - 2021 Quarter 4 - Summary Report

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

1. That the Implementation of Audit Recommendations Status - 2021 Quarter 4 report be received as information.

ADMINISTRATIVE REPORT

Title: Implementation of Audit Recommendations - 2021 Quarter 4 - Summary Report

Critical Path: Audit Committee - Council

AUTHORIZATION

Author	Department Head	CFO	CAO
B. Mansky	B. Mansky City Auditor		

EXECUTIVE SUMMARY

In 2006, the Status of Audit Recommendations Quarterly Report Card was introduced at the request of Audit Committee. The Quarterly Report Card is produced at the end of March, June, September and December and is presented at the next Audit Committee meeting.

The Implementation of Audit Recommendations report process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the 89 recommendations from eight audit reports as at December 31, 2021.

RECOMMENDATIONS

1. That the Implementation of Audit Recommendations Status -2021 Quarter 4 report be received as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d) (iv) that the Audit Committee will “Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee.”

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

HISTORY/DISCUSSION

The attached report provides the results of the Audit Department's review for the period October 1, 2021- December 31, 2021 for the 89 recommendations from eight audit reports.

In 2021 Qtr 4:
Three new audit reports were added to the Implementation of Audit Recommendations follow up process and report:

- Automatic Municipal Cemeteries Investigation;
- Board of Revision Audit;
- Municipal Cemeteries Investigation.

One recommendation was subject to Auditor confirmation – one recommendation was confirmed as implemented.

Seven recommendations were targeted for implementation – one recommendation was implemented; six implementations were delayed.

Audit Report	Recommendation # Targeted for Completion in 2021 Quarter 4	Status
Independent Fairness Commissioner-Summary Report Recommendations-July2019	1.9	Implemented
Business Improvement Zone Governance Review 2021	1	Implementation delayed
William R. Clement Parkway / Sterling Lyon Parkway Extension Project Audit, 2018	8, 9, 10, 12, 14	Implementation delayed

Where applicable, documentation supporting the implementation was reviewed by the City Auditor to confirm the status update and whether or not implementation has occurred. With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department. Implementation dates are revised by the public service for a variety of reasons. Delays can be attributed to external factors such as the pandemic and conflicting priorities. Explanations for the delays are reviewed by the City Auditor to confirm that they are reasonable.

FINANCIAL IMPACT

Financial Impact Statement

Date: February 10, 2022

Project Name:

Implementation of Audit Recommendations - 2021 Quarter 4 - Summary Report

Comments:

There are no financial implications associated with receiving this report as information.

"electronically approved by J. Peters"
Jocelyn Peters, CPA, CGA
Manager of Finance & Administration (Campus)

CONSULTATION

This Report has been prepared in consultation with:

Chief Administrative Office
Corporate Finance
City Clerks
Customer Services & Communications
Planning, Property and Development
Public Works

OURWINNIPEG POLICY ALIGNMENT

N/A

WINNIPEG CLIMATE ACTION PLAN ALIGNMENT

N/A

WINNIPEG POVERTY REDUCTION STRATEGY ALIGNMENT

N/A

SUBMITTED BY

Department: Audit
Division: Audit
Prepared by: B. Mansky, City Auditor
Date: March 2022
File No: n/a

Attachments: 2021 Qtr 4 - Implementation of Audit Recommendations Report