Agenda – Council – December 15, 2022

Report – Executive Policy Committee – December 7, 2022

Item No. 25 Implementation of Audit Recommendations - 2022 Quarter 3 Report

EXECUTIVE POLICY COMMITTEE RECOMMENDATION:

On December 7, 2022, the Executive Policy Committee, in its capacity as the Audit Committee, concurred in the recommendation of the Winnipeg Public Service and submitted the following to Council:

1. That the Implementation of Audit Recommendations 2022 Quarter 3 Report be received as information.

Report – Executive Policy Committee – December 7, 2022

DECISION MAKING HISTORY:

EXECUTIVE POLICY COMMITTEE RECOMMENDATION:

On December 7, 2022, the Executive Policy Committee, in its capacity as the Audit Committee, concurred in the recommendation of the Winnipeg Public Service and submitted the matter to Council.

ADMINISTRATIVE REPORT

Title: Implementation of Audit Recommendations - 2022 Quarter 3 Report

Critical Path: Audit Committee - Council

AUTHORIZATION

Author	Department Head	CFO	CAO
J. Egert	J. Egert Acting City Auditor		

EXECUTIVE SUMMARY

In 2006, the Status of Audit Recommendations Quarterly Report was introduced at the request of Audit Committee. The Quarterly Report is produced at the end of March, June, September and December and is presented at the next Audit Committee meeting.

The Implementation of Audit Recommendations report process enables the Acting City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the 59 recommendations from five audit reports as at September 30, 2022.

RECOMMENDATIONS

1. That the Implementation of Audit Recommendations 2022 Quarter 3 Report be received as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d) (iv) that the Audit Committee will “Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee.”

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

HISTORY/DISCUSSION

The attached report provides the results of the Audit Department's review for the period June 30 to September 30, 2022 for the 59 recommendations from five audit reports.

In 2022 Qtr 3

- One final report was issued for the William R. Clement Parkway/Sterling Lyon Parkway Extension Project Audit, 2018

Eight recommendations were targeted for implementation – 6 recommendations were implemented; 2 recommendation implementations were delayed.

Audit Report	Recommendation # Targeted for Completion in Quarter 3	Revised implementation date
Board of Revision Audit - June 2021	9	2022 Qtr 4
Contract Vendor Management Audit 2020	2	2022 Qtr 4

Where applicable, documentation supporting the implementation was reviewed by the Acting City Auditor to confirm the status update and whether or not implementation has occurred. With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department. Implementation dates are revised by the public service for a variety of reasons. Delays can be attributed to external factors such as the pandemic and conflicting priorities. Explanations for the delays are reviewed by the Acting City Auditor to confirm that they are reasonable.

FINANCIAL IMPACT

Financial Impact Statement

Date: November 20, 2022

Project Name:

Implementation of Audit Recommendations - 2022 Quarter 3 Report

Comments:

There are no financial implications associated with receiving this report as information.

Jason Duke - November 20, 2022
Jason Duke CPA, CGA
Acting Mgr. of Finance and Admin. - Campus Depts.

CONSULTATION

This Report has been prepared in consultation with:

Chief Administrative Office
City Clerks
Corporate Finance
Customer Services & Communications
Public Works

OURWINNIPEG POLICY ALIGNMENT (subject to third reading by Council)

Leadership and Good Governance

1. Establish and implement priority actions through evidence-informed decision-making processes.

2. Promote trust and government responsiveness through meaningful, inclusive and informed community engagement.

3. Achieve community-responsive service delivery through an aligned, integrated, collaborative and results-oriented organizational culture.

WINNIPEG CLIMATE ACTION PLAN ALIGNMENT

The City of Winnipeg Audit Department provides assurance on the efficiency, effectiveness and economy of City programs and activities, thus enabling an organizational operating environment that promotes support of the vision and targets of the Winnipeg Climate Action Plan.

WINNIPEG POVERTY REDUCTION STRATEGY ALIGNMENT

N/A

SUBMITTED BY

Department: Audit
Division: Audit
Prepared by: J. Egert, Acting City Auditor
Date: November 2022
File No: n/a

Attachments:

2022 Qtr 3 - Implementation of Audit Recommendations Report