Minute No. 149
Report – Executive Policy Committee – January 17, 2023

Item No. 11 Audit Plan 2022 – 2023 – 2023 Update - Amended

COUNCIL DECISION:

Council concurred in the recommendation of the Executive Policy Committee and adopted the following:

1. That the Audit Plan 2022 – 2023 – 2023 Update Amended Report be received as information.

Report – Executive Policy Committee – January 17, 2023

DECISION MAKING HISTORY:

Moved by His Worship Mayor Gillingham,

That the recommendation of the Executive Policy Committee be adopted by consent.

Carried

EXECUTIVE POLICY COMMITTEE RECOMMENDATION:

On January 17, 2023, the Executive Policy Committee, in its capacity as the Audit Committee, concurred in the recommendation of the Winnipeg Public Service and submitted the matter to Council.

COUNCIL DECISION:

On December 15, 2022, Council adopted the December 7, 2022 recommendation of the Executive Policy Committee, which included the following recommendations:

1. That the Acting City Auditor be directed to prioritize in 2023 the performance audit on the last five years of contracting and procurement activities related to the Traffic Signals Branch.

2. That the Acting City Auditor be directed to revise the 2022-2023 Audit Plan, and submit the matter to Council for approval.

ADMINISTRATIVE REPORT

Title: Audit Plan 2022 – 2023 – 2023 Update - Amended

Critical Path: Audit Committee – Council

AUTHORIZATION

Author	Department Head	CFO	CAO
J. Egert Acting City Auditor	J. Egert Acting City Auditor		

EXECUTIVE SUMMARY

On December 15, 2022 Council directed the Acting City Auditor to prioritize the performance audit of the last five years of contracting and procurement activities related to the Traffic Signals Branch. This requires an amendment to the Audit Department's Audit Plan 2022 – 2023: 2023 Update.

The Corporate Fraud Risk Assessment project has been deferred to the 2024-2025 audit planning process and the Traffic Signals Branch – Procurement and Contracting Audit has been added to the Audit Plan 2022-2023 2023 update (amended) as directed by Council.

RECOMMENDATIONS

1. That the Audit Plan 2022 – 2023 – 2023 Update Amended Report be received as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 4(19)(d)(ii) that the Audit Committee will "approve the Audit Department's annual audit plan."

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications to the recommendation that the report be received as information.

HISTORY/DISCUSSION

The Audit Plan identifies the areas considered a priority for the coming cycle through our independent risk assessment. The Acting City Auditor initiates a project after considering the corporate environment, risks, challenges and priorities. The two-year Audit Plan will be reviewed annually and any adjustments to the planned projects will be brought forward for Audit Committee approval. The Audit Plan was developed with input from elected officials and department management teams.

On December 15, 2022 Council adopted the following motion:

1. That the Acting City Auditor be directed to prioritize in 2023 the performance audit on the last five years of contracting and procurement activities related to the Traffic Signals Branch.
2. That the Acting City Auditor be directed to revise the 2022-2023 Audit Plan, and submit the matter to Council for approval.

In order to complete the additional audit within the Audit Department existing resources the 2022-2023 Audit Plan Update adopted by Council on December 15, 2022 had to be amended. The Corporate Fraud Risk Assessment project has been deferred to the 2024-2025 audit planning process and the Traffic Signals Branch – Procurement and Contracting Audit has been added to the Audit Plan 2022-2023 2023 Update per Council's direction.

FINANCIAL IMPACT

Financial Impact Statement **Date:** December 22, 2022

Project Name:

Audit Plan 2022 – 2023 – 2023 Update - Amended

Comments:

There are no financial implications associated with receiving this report as information.

Jocelyn Peters - December 22, 2022

Jocelyn Peters, CPA, CGA
Manager of Finance and Admin. (Campus)

CONSULTATION

This Report has been prepared in consultation with:

N/A

OURWINNIPEG POLICY ALIGNMENT

Leadership and Good Governance

1. Establish and implement priority actions through evidence-informed decision-making processes.

2. Promote trust and government responsiveness through meaningful, inclusive and informed community engagement.

3. Achieve community-responsive service delivery through an aligned, integrated, collaborative and results-oriented organizational culture.

WINNIPEG CLIMATE ACTION PLAN ALIGNMENT

The City of Winnipeg Audit Department provides assurance on the efficiency, effectiveness and economy of City programs and activities, thus enabling an organizational operating environment that promotes support of the vision and targets of the Winnipeg Climate Action Plan."

WINNIPEG POVERTY REDUCTION STRATEGY ALIGNMENT

N/A

SUBMITTED BY

Department: Audit Department
Prepared by: Jason Egert, Acting City Auditor
Date: December 21, 2022