City of Winnipeg – Audit Department

Implementation of Audit Recommendations Report 2023 – Quarter 3

For more information visit: Winnipeg.ca/audit

Implementation of Audit Recommendations Report- 2023 Quarter 3- Summary

Audit Recommendations

Once an Audit is complete, the next step is implementation of the recommendations. The Audit Department provides a list of the audit recommendations to the Public Service Director of the department that was audited. The Public Service prepares an action plan and target for completion date. The implementation of the audit recommendations is the responsibility of the Public Service.

The Public Service provides status updates quarterly for all recommendations. For recommendations in progress this includes a target completion date. Documentation supporting implementation and progress is reviewed by the Audit Department for confirmation. If the Public Service indicates that a recommendation will not be implemented, residual risk is identified and discussed with the Department and reported to Audit Committee.

After presentation to the Audit Committee these reports are posted at: Implementation of Audit Recommendations Reports

This report summarizes the status of Implementation of Audit Recommendations for the period ending September 30, 2023:

- the number of recommendations implemented to date
- the number of recommendations that will not be implemented
- the number of recommendations currently in progress and the target date for implementation

Summary of the Implementation of Audit Recommendations at September 30, 2023

	Number of Recommendations				
Audit Reports	**Total**	**Implemented**	**In Progress**	**Not to be Implemented**	**Percent Complete**
Automatic Vehicle Locator Investigation -June 2021	6	3	3		50%
By-Law Amalgamation Audit - June 2018	11	0	11		0%
Fleet Management Audit -June 2022	10	1	9		10%
Investigation into The City's Use of Required User Car Allowance December 2022	4	0	4		0%
Intersection Signal Infrastructure Investigation - September 2022	3	1	2		33%
Stores Audit October 2022	3	0	3		0%
Urban Forestry Branch Investigation - September 2022	8	5	3		63 %
Water and Waste Dept Investigation - Brady Road Resource Management Facility - August 2022	4	2	2		50%
Total	49	12	37		24%

Recommendations Implemented and Confirmed in 2023 Quarter 3

Documentation supporting the implementation was reviewed and confirmed by the Audit Department.

Fleet Management Audit -June 2022		
2	The CAO establish a fleet oversight committee that includes the Chief Administrative Officer or their designate, a representative from Corporate Finance, the WFMA General Manager and Department Directors representing the departments using City owned, rented or leased vehicles and/or equipment.	The fleet oversight committee (FOC) has been established, this oversight committee will provide effective governance and oversight of Fleet on an ongoing basis. Committee Terms of Reference have been developed and approved by this committee.

Urban Forestry Branch Investigation - September 2022		
8	We recommend that the Materials Management Division monitor exceptions (i.e. deviations from acceptable practices) and communicate them on an annual basis through emails and training sessions for all contract administrators.	Materials Management will monitor exceptions and incorporate trends into the training material and manuals as required.

Recommendations Targeted for Implementation in 2023 Quarter 3

Implementation dates are revised by the public service for a variety of reasons. Delays can be attributed to internal or external factors; the delays are reviewed by the Audit Department to confirm that they are reasonable.

Audit Report	Recommendation # Targeted for Completion in Quarter 3	Revised implementation date
Automatic Vehicle Locator Investigation - June 2021	4	2023 Qtr 4
Intersection Signal Infrastructure Investigation - September 2022	3	2023 Qtr 1
Investigation into The City's Use of Required User Car Allowance - December 2022	1	2023 Qtr 4
Investigation into The City's Use of Required User Car Allowance - December 2022	2	2023 Qtr 4
Investigation into The City's Use of Required User Car Allowance - December 2022	3	2023 Qtr 4
Investigation into The City's Use of Required User Car Allowance - December 2022	4	2023 Qtr 4
Water and Waste Dept Investigation - Brady Road Resource Management Facility - August 2022	4	2024 Qtr 2

Recommendation Numbers in Progress – 2023 Quarter 2

Implementation status updates were provided by management and were reviewed by the Audit Department.

Audit Report	Recommendation numbers already implemented	Recommendation numbers in progress	Target date for implementation			
			2023 Qtr 4	2024 Qtr 1	2024 Qtr 2	2024 Qtr 3 & beyond
Automatic Vehicle Locator Investigation - June 2021	1, 2, 3	4, 5, 6	4, 5	6		
By-Law Amalgamation Audit - June 2018		1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11		11	1, 3, 4, 5, 6, 8, 9, 10	2, 7
Fleet Management Audit -June 2022	2	1, 3, 4, 5, 6, 7, 8, 9, 10	1	5, 6, 7, 8, 9, 10	3	4
Investigation into The City's Use of Required User Car Allowance - December 2022		1, 2, 3, 4	1, 2, 3, 4			
Intersection Signal Infrastructure Investigation - September 2022	2	1, 3		3		1
Stores Audit - October 2022		1, 2, 3	1, 2, 3			
Urban Forestry Branch Investigation – September 2022	3, 4, 5, *6, 8	1, 2, 7		1, 2, 7		
Water and Waste Dept Investigation - Brady Road Resource Management Facility - August 2022	2, 3	1, 4			1, 4	
Total	12	37	10	12	11	4

*To be confirmed by the Audit Department 2023 Qtr 4