Agenda – Executive Policy Committee – March 12, 2024

AUDIT COMMITTEE

Item No. 1 Implementation of Audit Recommendations Report - 2023 Quarter 4

WINNIPEG PUBLIC SERVICE RECOMMENDATION:

That the Implementation of Audit Recommendations Report – 2023 Quarter 4 be received as information.

ADMINISTRATIVE REPORT

Title: Implementation of Audit Recommendations Report - 2023 Quarter 4

Critical Path: Audit Committee - Council

AUTHORIZATION

Author	Department Head	CFO	CAO
J. Egert	J. Egert City Auditor		

EXECUTIVE SUMMARY

In 2006, the Status of Audit Recommendations Quarterly Report was introduced at the request of Audit Committee. The Quarterly Report is produced at the end of March, June, September and December.

The Implementation of Audit Recommendations report process enables the City Auditor to provide Audit Committee with assurance that the Public Service has implemented recommendations from past audit reports. The report also provides status updates on the implementation progress of outstanding recommendations.

This report provides information on the 49 recommendations from 8 audit reports as at December 31, 2023.

RECOMMENDATIONS

That the Implementation of Audit Recommendations Report – 2023 Quarter 4 be received as information.

REASON FOR THE REPORT

The report is being submitted in accordance with the City Organization By-law 7100/97 section 19(d) (iv) that the Audit Committee will “Receive and review audit reports, and table such reports with its recommendations at a regular meeting of Executive Policy Committee.”

IMPLICATIONS OF THE RECOMMENDATIONS

There are no implications arising from receiving this report as information.

HISTORY/DISCUSSION

The attached report provides the results of the Audit Department's review for the period October 1, 2023 to December 31, 2023 for the 49 recommendations from 8 audit reports.

In 2023 Qtr 4:

Ten recommendations were targeted for implementation; implementation of 10 recommendations were delayed.

Audit Report	Recommendation # Targeted for Completion in Quarter 4	Revised implementation
Automatic Vehicle Locator Investigation - June 2021	4	2024 Qtr 1
Automatic Vehicle Locator Investigation - June 2021	5	2024 Qtr 3
Fleet Management Audit -June 2022	1	2024 Qtr 4
Investigation into The City's Use of Required User Car Allowance - December 2022	1	2024 Qtr 1
Investigation into The City's Use of Required User Car Allowance - December 2022	2	2024 Qtr 1
Investigation into The City's Use of Required User Car Allowance - December 2022	3	2024 Qtr 1
Investigation into The City's Use of Required User Car Allowance - December 2022	4	2024 Qtr 1
Stores Audit October 2022	1	2024 Qtr 1
Stores Audit October 2022	2	2024 Qtr 1
Stores Audit October 2022	3	2024 Qtr 1

Where applicable, documentation supporting the implementation was reviewed by the Audit Department to confirm the status update and whether or not implementation has occurred. With respect to the recommendations in progress, status updates were provided by management and reviewed by the Audit Department. Implementation dates are revised by the public service for a variety of reasons. Delays can be attributed to internal or external factors. Explanations for the delays are reviewed by the Audit Department to confirm that they are reasonable.

FINANCIAL IMPACT

Financial Impact Statement

Date: January 24, 2024

Project Name:

Implementation of Audit Recommendations Report - 2023 Quarter 4

Comments:

There are no financial implications associated with receiving this report as information.

Randy Bernard

Randy Bernard, CPA, CMA
Manager of Finance & Administration (Campus)
Corporate Finance Department

CONSULTATION

This Report has been prepared in consultation with:

Chief Administrative Office
Corporate Finance
Corporate Human Resources
Public Works
Water and Waste Department

OURWINNIPEG POLICY ALIGNMENT

Leadership and Good Governance

1. Establish and implement priority actions through evidence-informed decision-making processes.
2. Promote trust and government responsiveness through meaningful, inclusive and informed community engagement.
3. Achieve community-responsive service delivery through an aligned, integrated, collaborative and results-oriented organizational culture.

WINNIPEG CLIMATE ACTION PLAN ALIGNMENT

N/A

WINNIPEG POVERTY REDUCTION STRATEGY ALIGNMENT

N/A

SUBMITTED BY

Department: Audit
Division: Audit
Prepared by: J. Egert, City Auditor
Date: January 2024
File No: n/a

Attachments:

Implementation of Audit Recommendations Report 2023 Quarter 4